

Louisville - Southern Indiana Ohio River Bridges Project
Progress Report Number 7
July 1, 2006 – December 31, 2006
Implementation of the Section 106 Memorandum of Agreement

The Section 106 Memorandum of Agreement (MOA)¹ for the Louisville – Southern Indiana Ohio River Bridges Project (Project) was executed on April 1, 2003. Attachment A provides a list of the signatories to the MOA and concurring parties. Included in the MOA was a stipulation providing for the preparation of a Progress Report (Stipulation IX). The following is the Seventh Progress Report for the July 1, 2006 through December 31, 2006 reporting period (Reporting Period).

There was continued activity on implementation of mitigation measures during the Reporting Period. The Indiana Department of Transportation (INDOT) continued work to finalize the scopes of work and contracts for the Section Design Consultants (SDCs) in Section 3 (Indiana Downtown) and Section 6 (Indiana East End). This will be discussed in more detail in Part I of this report.

Activity during the Reporting Period on implementation of mitigation measures in the MOA included preparing and convening meetings of the Indiana and Kentucky Historic Preservation Advisory Teams (IHPAT/KHPAT), continuing the Historic Preservation Plan (HPP) process for Butchertown, Phoenix Hill, the Old Jeffersonville Historic District and the Swartz Farm Rural Historic District, finalizing the Immediate Needs Report (INR) for the Country Estates of River Road/River Road Corridor (CERR/RRC), managing the development, scheduling and convening of the Smart Growth Conference and undertaking various archaeological investigations. All activities will be described in more detail in later sections of this report.

PART I – OVERALL PROJECT STATUS

INDOT and KYTC executed the prime contract for Community Transportation Solutions – General Engineering Consultant (CTS-GEC) on April 20, 2005. A description of each section and the progress of each SDC are provided in the following summary.

Section 1 Kentucky Downtown Approach (including Kennedy Interchange) – Ongoing coordination with agencies and organizations continued through this Reporting Period. SDC 1, Kentucky Transportation Associates (KTA), prepared and presented Draft Aesthetic Design Guidelines to the Regional Advisory Committee (RAC), Area Advisory Team (AAT) and the KHPAT for the Kennedy Interchange. Revisions to the draft are underway and will be presented in the future. Three meetings were held with the KHPAT. A final report for the Witherspoon Avenue/Geiger Street extension study was submitted; this study evaluated options for the relocation of the Witherspoon Extension from the location shown in the Final Environmental Impact Statement (FEIS) to the location recommended in the INR and HPP for Butchertown. Noise studies were

¹ A copy of the Section 106 MOA can be obtained by accessing the Project Website; the URL address is located at http://www.kyinbridges.com/pdfs/rod_d.pdf

completed to evaluate the potential need for noise abatement along I-64 east of the Payne Street overpass. The findings from these studies indicate that no noise abatement is required east of Payne Street. Coordination activities continued with the Waterfront Development Corporation during Phase II of the Waterfront Park Impact Reduction Study.

Section 2

Downtown Bridge (using Alternative C-1) – The design team completed Step 3 (Develop Alternatives) and Step 4 (Select Bridge Type) in the Bridge Type Selection Process (BTSP) concluding an 18-month public involvement effort.

Based on the public comment on bridge alternatives in Step 3, along with engineering analyses, three bridge alternatives were identified for recommendation in Step 4. Guidelines for the development of the bridge alternatives were based on the public involvement process for this project, as well as commitments made in the Record of Decision (ROD), FEIS and MOA. The applicable Context Sensitive Design (CSD) guidelines and parameters were considered by the SDC 2 bridge architect during the development of the three alternatives.

During Step 4, more detailed engineering analysis was performed on refining member sizes and geometry; constructability checks and quantity based construction cost estimating were also done. A comprehensive Bridge Type Selection Report (BTSR) was prepared documenting the BTSP. The report contained topics including public involvement, the bridge alternatives and their development, historic context and environmental commitments.

The recommended bridge types were presented to the AAT on July 11, 2006 and to the RAC and HPATs on July 18, 2006 (separate meetings) to solicit feedback before being presented to the Executive Bridge Type Selection Committee (EBTSC). The three bridge types were presented to the EBTSC on November 1, 2006. As a result of this meeting, the EBTSC requested that the alternatives be presented to the public for additional feedback and for them to vote for their favorite alternative for the EBTSC to use in selecting a bridge.

Four public meetings were then held on consecutive days from November 13-16, 2006. Two meetings each were held in Kentucky and Indiana. The voting results were presented to the EBTSC on December 12, 2006. During the meeting, the EBTSC selected the Three Tower Cable Stayed Bridge as the Downtown Bridge.

Section 3

Indiana Downtown Approach – Efforts during the Reporting Period entailed the continuation of the topographic survey for the Project. No direct environmental or mitigation efforts were initiated by SDC 3 during this Reporting Period.

Section 4 Kentucky East End Approach – During the Reporting Period, Preliminary Line and Grade Plans (PL&G) were completed. These plans were presented to the KHPAT, RAC and the AAT. Coordination continued with the Louisville Water Company and the appropriate environmental resource agencies to develop strategies for working within the Wellhead Protection Zone. The appropriate environmental permit documents were filed with U.S. Army Corps of Engineers for the exploratory tunnel and access ramp project. The Draft Aesthetic Design Guidelines were submitted to the BiState Management Team (BSMT) and to the KHPAT for comment.

Section 5 East End Bridge (using Alternative A-15) – The design team has completed Step 3 (Develop Alternatives) and Step 4 (Select Bridge Type) in the BTSP concluding an 18-month public involvement effort. .

Based on the public comment on bridge alternatives in Step 3, along with engineering analyses, three bridge alternatives were identified for recommendation in Step 4. Guidelines for the development of the bridge alternatives were based on the public involvement process for this project, as well as commitments made in the Record of Decision (ROD), FEIS and MOA. The applicable Context Sensitive Design (CSD) guidelines and parameters were considered by the SDC 5 bridge architect during the development of the three alternatives.

SDC 5 made a presentation to the IHPAT and KHPAT on July 18, 2006 regarding the BTSP, guidelines and parameters to be used in the East End Bridge Design, and the three alternates recommended in Step 4. At the joint HPAT meeting, no preferences were expressed regarding the three recommended alternatives for the East End Bridge. Questions and discussion topics at the meeting focused on the HPPs for identified historic properties, the role of the HPATs in the Bridge Type Selection Process, and the role of the HPATs in the next phase of design. It was emphasized during the presentation that detailed design of the selected bridge type would continue in the next phase.

The BTSR for the East End Bridge was submitted in October 2006. This document summarized the 18 month, four-step, BTSP, which involved extensive public involvement, preliminary engineering, architectural analysis, consideration of the bridge site context, incorporation of environmental commitments of the EIS, ROD and MOA, and development of a Kentucky Disadvantaged Business Enterprise (DBE) program. The BTSR identified the three recommended alternatives developed in Step 4 and was written to assist the EBTSC in selecting one of the bridge type alternatives to be constructed in the East End.

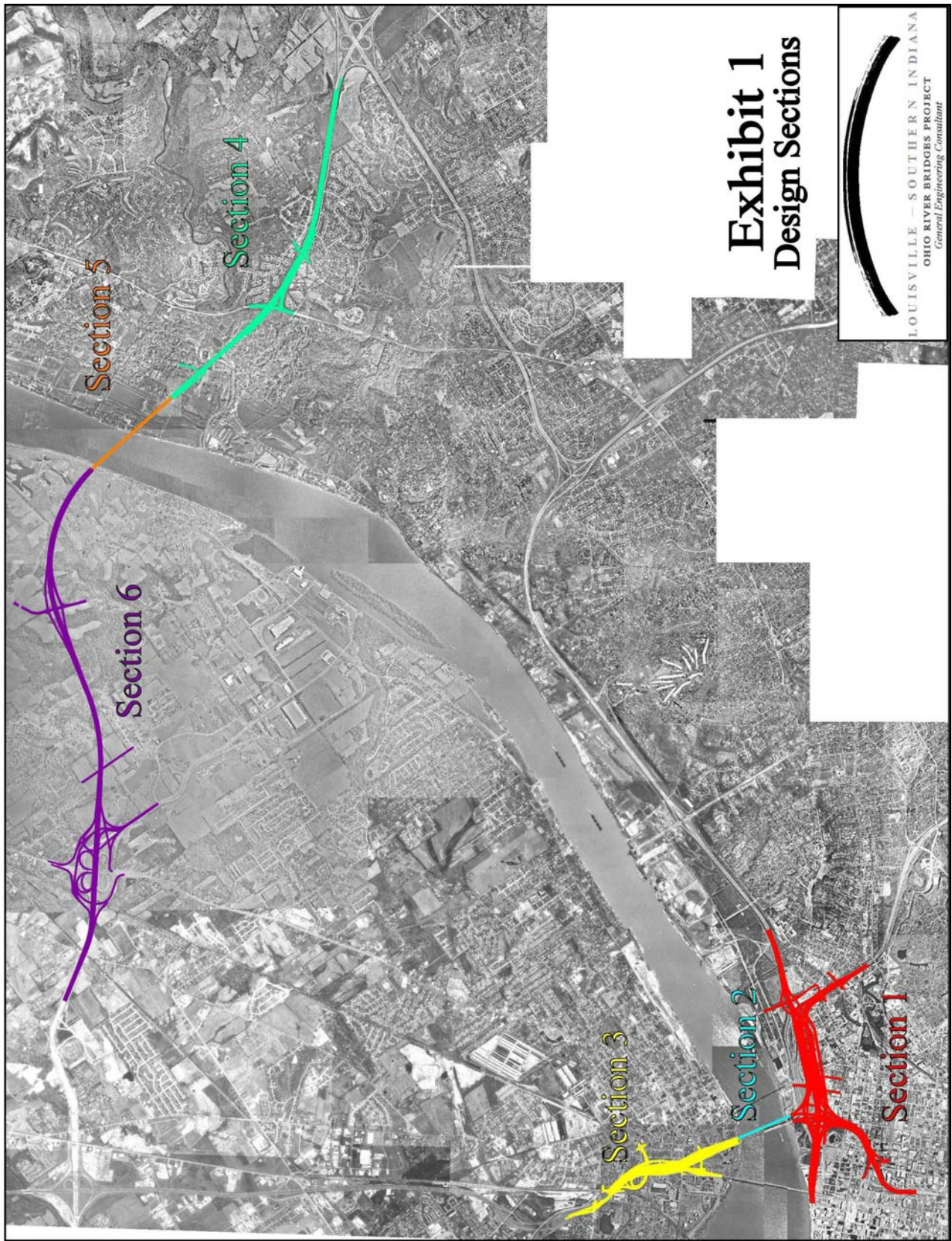
In December 2006, the EBTSC met to discuss public input and other considerations related to the bridge alternatives for the East End and Downtown Bridges. For the East End, the committee selected Recommended Alternative 3, the Median Tower Cable-Stayed Bridge

with Median Cables. The committee agreed that this alternative was the most transparent, was the best fit with the context of the East End Bridge location, and best met the commitments of the FEIS, ROD and MOA.

The environmental commitments matrix for the East End Bridge has been maintained and updated to monitor the commitments in relation to the recommended bridge alternatives developed in Step 4 of the BTSP. The matrix includes references to the MOA, EIS and ROD for the Project. Many of the commitments listed in the matrix applied to the BTSP, but will also continue throughout the design, right-of-way, utilities and construction of the project.

Section 6

Indiana East End Approach – Efforts entailed the preparation for the negotiation of the design proposal with the INDOT. SDC 6 initiated no direct environmental or mitigation efforts during the Reporting Period.



CTS-GEC, under the management of Mr. John Sacksteder worked during this Reporting Period with the BSMT, which consists of representatives from INDOT, KYTC and FHWA, in the development of a Project Management Plan, project schedule and oversight requirements of the six SDCs to be placed under contract by either INDOT and/or KYTC.

PART II – IMPLEMENTATION OF MITIGATION MEASURES CONTAINED IN THE MOA

Measures to mitigate adverse effects of the Project on historic properties are described in the MOA along with a number of activities to guide the development of project plans and provide for coordination of those activities through an historic advisory team for the Indiana portion of the project and one for the Kentucky portion of the project.

The MOA is divided into the following fourteen (14) stipulations:

- I. Project Coordination
- II. Project Development
- III. Site Specific Mitigation
- IV. Archaeological Resources
- V. Unanticipated Discoveries
- VI. Additional Historic Properties and Effects
- VII. Excess Right of Way
- VIII. Performance Standards
- IX. Progress Reports
- X. Project Modification
- XI. Amendment
- XII. Failure to Comply/Termination
- XIII. Dispute Resolution
- XIV. Duration

An expanded version of this listing is included as Attachment B, to serve as an outline in the preparation of the Progress Reports. A complete definition of each of the specific MOA stipulations is contained in Attachment C. Activities completed toward satisfying the various stipulations of the MOA during the Reporting Period are described in the following information.

STIPULATION I: Project Coordination

Stipulation IA.2 provides for the Historic Advisory Teams to assist the Bi-State Historic Consultation Team (BSHCT) and the BSMT in developing Project design details to implement the measures stipulated in the MOA. Work continued during the Reporting Period on this stipulation.

Stipulation IA.3 provides for the Co-chairs to convene meetings of the HPATs, prepare summaries of said meetings and prepare and submit HPAT recommendations to the BSHCT for further action. IHPAT meetings were held on July 18, 2006 (joint meeting with the KHPAT), August 16, 2006, October 18, 2006 and December 13, 2006. KHPAT meetings were convened on July 18, 2006 (joint meeting with the IHPAT), July 19, 2006, September 26, 2006, October 19, 2006 and December 14, 2006. Summaries of each meeting, except for the KHPAT meetings

of July 19, 2006 and September 26, 2006, which were working sessions to continue the development of the HPPs for Butchertown and Phoenix Hill, were returned to HPAT members within a reasonable time following each meeting.

Stipulation IA.9 provides for the convening of meetings of the HPATs by the Co-chairs to review plans, comment, and make specific recommendations regarding Project design scopes of work and details for consideration by the BSHCT. Stipulations IA.9.a and IA.9.b describe meetings prior to development of scopes of work and prior to the selection of contractors for the HPPs and Treatment Plans under Stipulation III. Once the scope of work for the Treatment Plans are developed for the properties identified in the MOA, the respective HPATs will be advised accordingly per this stipulation.

Stipulation IA.10 provides for the distribution of materials needed for review by the advisory teams and comments following scheduled meetings. Materials for the meetings held during the Reporting Period were generally limited to information on the preparation of INRs/HPPs, Preliminary Line and Grade (PL&G) plans for Section 4 and bridge type alternatives in Steps 3 and 4 of the BTSP for Sections 2 and 5. Presentations and requests for input during the meetings were supplemented by a 15-day comment period following the meetings to obtain input into the INRs/HPPs, PL&G and BTSP.

Stipulation IA.11 provides for the development of recommendations by the Co-chairs based on comments provided by the advisory team, for consideration and action by the BSHCT. This was completed after each meeting.

Stipulation LB. provides for a BSHCT. The BSHCT is to consist of representatives of FHWA, INDOT, KYTC and the respective SHPOs. It defines the roles and responsibilities of the BSHCT, mandates the scheduling of BSHCT coordination meetings and provides a means to advance recommendations of the HPATs to the BSMT for final approval.

The BSHCT held four meetings during the Reporting Period on July 6, 2006, August 1, 2006, September 12, 2006 and November 14, 2006. Recommendations elevated from the BSHCT to the BSMT included concurrence in the Final INR for the CERR/RRC (July 6, 2006), abandonment of the James Smith Rural Historic Farmstead from further consideration in the historic preservation process (August 1, 2006) and conclusion of the BTSP (November 14, 2006).

Stipulation LC. provides for a BSMT. The BSMT consists of representatives of INDOT, KYTC and FHWA. It defines the roles and responsibilities of the BSMT, mandates the preparation of Progress Reports as set forth in Stipulation IX of this MOA and provides a means to consider recommendations of the HPATs through the BSHCT for final approval.

Weekly meetings of the BSMT generally occurred on the Monday of each week and items related to this MOA were brought forth as needed.

Progress Report Number 7 for the Reporting Period of July 1, 2006 through December 31, 2006 is provided in accordance with Stipulation IX.

Stipulation I.D. provides for an Ombudsman for the Indiana portion of the Project and one for the Kentucky portion of the Project. It defines the qualifications for performance as the Project Ombudsman, the roles and responsibilities of the Ombudsman and the location of the office of the Ombudsman for each state.

During the Reporting Period, a list of potential candidates for the Indiana Ombudsman position were assembled and provided to the Executive Office of INDOT for selection. It is anticipated that the selection of an Indiana Ombudsman will occur in the next Reporting Period. Mr. Lee Walker continued to serve as the Kentucky Ombudsman.

STIPULATION II: Project Development

Work initiated on implementation of mitigation measures identified in Section II of the MOA during the Reporting Period follows.

Stipulation II.A. describes the design goal of the Project, which will be used in the preparation of the Project Management Plan, to guide the development of project details.

Stipulation II.B. provides that the views of the public are important and will be solicited and considered at a minimum through each state's normal transportation project development process through informal meetings to be convened by the respective State Transportation Agencies (STA). During the Reporting Period, Area Advisory Team (AAT) and Regional Advisory Committee (RAC) meetings were organized. The AAT meetings were convened for Section 1 (Kennedy Interchange Approach) on October 26, 2006 and Section 2 (Downtown Bridge) on July 11, 2006. Section 5 (East End Bridge) convened an AAT meeting on July 13, 2006. RAC meetings were held on July 18, 2006 and October 26, 2006.

Stipulation II.C. provides that the roadways, bridges and other Project elements shall be designed and constructed with sensitivity to aesthetic values, historic cultural landscapes, and the historic context, utilizing services of professionals with experience in areas related to historic preservation. In the BTSP for the Downtown and the East End bridges, design professionals who have experience working with historic cultural landscapes, historic context and preservation were key contributors in the development bridge type alternatives for Steps 3 and 4.

Stipulation II.D. provides for the roadway lighting within the viewshed of historic properties and any navigational lighting required on structures included in the Project shall be designed and constructed to minimize the dispersion of light beyond the right-of-way and include state of the art techniques. Lighting techniques were a component of the Aesthetic Design Guidelines prepared by SDC 1 and SDC 4 and presented to the KHPAT on October 19, 2006 and December 14, 2006, respectively. Lighting is also a component of the Butchertown and Phoenix Hill HPPs and the INR for the CERR/RRC. All of the SDCs are reviewing the current state-of-the-art technology and will continue to do so until the project letting.

Stipulation II.E. describes activities to minimize adverse effects of noise on historic properties in accordance with state and federal noise regulations, policies and guidance. During this Reporting Period, the Noise Report was prepared by SDC 1, submitted through CTS-GEC to the BSMT and approved on August 28, 2006. The final noise barrier report for Section 1 was presented to the KHPAT at their meeting of December 14, 2006. The report recommended that

no noise barriers be provided in the I-65 and I-71 corridors. Noise barriers are still in consideration along I-64 in the area of Clifton, and a meeting with the neighborhood is pending. It was requested that SDC 1 provide additional studies along I – 64 in this area of Clifton.

Revisions were made to the Section 4 Noise Barrier Analysis to incorporate potential increased structure costs of a noise wall on the Harrods Creek Bridge into the analysis for the Harbor at Harrods Creek Condominiums. The Noise Barrier Analysis was approved by the BSMT on December 4, 2006. SDC 4 will hold community meetings with the affected neighborhoods to discuss possible design concepts for proposed noise barriers in the following Reporting Period.

Stipulation II.F. describes the actions for preparation of HPPs. The seven historic properties for which HPPs are to be developed were identified in Stipulation II.F.2 (a through g). During the Reporting Period, development of the HPPs for the Swartz Farm Rural Historic District (HD) and the Old Jeffersonville HD continued. A walking tour of the Swartz Farm HD was held on October 18, 2006 after the regularly scheduled IHPAT and a working session was convened as the IHPAT of December 13, 2006. For the Old Jeffersonville HPP, a public information meeting/working session was held on August 16, 2006, and another working session was convened as the IHPAT of December 13, 2006. Work on finalizing both HPPs will continue in the next Reporting Period. Coordination with the property owner of the James Smith Rural Historic Farmstead continued from the previous Reporting Period. No response was received by an established deadline of June 14, 2006 indicating no desire to donate the property. As directed by the Co-chairs of the BSHCT, a closure letter for the abandonment of the property from further consideration in the historic preservation process was prepared and concurred in by the Co-chairs on August 1, 2006 and the BSMT on August 7, 2006. Work on the development of the HPP for the Utica Lime Kilns is pending access from the property owner. The draft HPPs for Butchertown and Phoenix Hill were discussed in KHPAT working meetings held on July 19, 2006 and September 26, 2006. Both HPPs will be finalized in the next Reporting Period. Similar to the HPPs, a KHPAT working meeting to further refine the INR for the CERR/RRC was held on July 19, 2006; this helped to finalize the INR, which was approved by the BSMT on July 31, 2006. Development of the HPP for the CERR/RRC began after that approval.

Stipulation II.G. mandates that within five years of the Record of Decision (ROD), the historic preservation documents for Clark County, Indiana and Jefferson County, Kentucky will be updated by a qualified historic preservation consultant with the information developed for the Project. The Indiana SHPO has initiated work to develop a plan for the Clark County Survey update. Work on the development of an MOA with Louisville Metro Government for Jefferson County is ongoing.

Stipulation II.I. mandates that for specific properties identified in Stipulation III, documentation required for a NRHP nomination shall be prepared by a qualified historic preservation consultant selected by INDOT or KYTC, upon receipt of written consent from the property owner(s) and within the first three years following the Record of Decision. Six properties were identified in the MOA requiring the preparation of a nomination form, all located in Indiana; as stated above, no further consideration in the historic preservation process will be afforded to the Smith Farm as the property owners did not indicate a willingness to donate the property. Therefore, the number of NRHP nominations has been reduced to five. The preparation of these NRHP nomination forms is underway by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC

agreement for the Ohio Falls Car and Locomotive Company HD, the Utica Lime Kilns Multiple Property Listing and the Swartz Farm Rural HD. In regard to the Colgate – Palmolive HD, a documentation report was prepared and submitted to the IN SHPO on August 31, 2006 in lieu of a nomination form. The property owners declined to provide access to complete the nomination, necessitating the preparation of the documentation report. It was submitted to the IHPAT for review and comment on October 26, 2006; no comments were received by the established deadline of December 1, 2006. Therefore, it is proposed to advance approval of the documentation report in the ensuing Reporting Period. For the Train Depot, a nomination form was prepared and sent to the IN SHPO for review in September 2006. It is to be submitted to the Indiana Historic Preservation Review Board for approval in the ensuing Reporting Period.

Stipulation II.O. describes the process to hold a Smart Growth Conference within three years of execution of the MOA. The Conference was held on September 18 – 20, 2006 at the Louisville Downtown Marriott Hotel. Progress made toward the continuing development of the Conference during the Reporting Period included the holding of two additional Organizational Committee Meetings as follows:

September 6, 2006 – Speaker Presentation Review
September 13, 2006 – Dry Run Meeting

The Speaker Presentation Review meeting consisted of discussions of approximately 50% of the Conference presentations. The remaining presentations were not available, but were expected to be delivered to the Committee for review prior to the Conference.

Sponsorships were received from eight entities, totaling \$6,000.00. There were six Silver Sponsors (6 @ \$500.00 each) and two Gold Sponsors (2 @ \$1,500.00 each). Registration totaled 176 individuals including the Conference speakers and eight students. Both the Kentucky League of Cities and the Indiana Association of Cities and Towns were contacted in mid-August 2006 with a request that a Conference e-mail be sent to their respective list serves.

An e-mail was sent to all registrants on September 1, 2006 asking for their attendance at scheduled tours of Butchertown and Phoenix Hill (walking tour), Old Jeffersonville (walking tour) and the Country Estates and River Road (driving tour). The limit for each tour was set at 30 persons.

Moderator room assignments were made as well as a generic listing of assignments. It was the intent of the Committee to distribute the listing to all moderators to ensure that all of the Conference presentations were to be run in a similar format. Additionally, a Conference survey was prepared for discussion at the Dry Run meeting.

Following the Conference, Mr. George Jones of the FHWA requested that a meeting be scheduled with the Committee to evaluate the efforts expended on the scheduling and holding of the Conference. This meeting was held on October 13, 2006 at CTS-GEC to discuss the preparation of the Conference Report. A draft Report outline was sent to the Committee on October 4, 2006 in preparation for the meeting. The format and content of the Report were discussed; a draft of the Report is to be sent to the Committee members for review and comment on January 10, 2007 for discussion at a meeting on January 19, 2007 at CTS-GEC. Completion and acceptance of the Report will finalize the work tasks for this work element. Additionally, the

Environmental Commitments Team held an internal meeting on October 25, 2006 to further discuss assimilation of the draft Conference report.

STIPULATION III: Site Specific Mitigation

Work continued on the implementation of mitigation measures identified in Section III of the MOA during the Reporting Period. The major effort on measures identified in this section is expected to occur once all six SDCs are under contract and have work underway.

Stipulation III.A.4 requires the INDOT to develop and seek NRHP nomination for the Train Depot. A nomination form was prepared by INDOT during the Reporting Period and sent to the IN SHPO for review in September 2006. It is to be submitted to the Indiana Historic Preservation Review Board for approval in the ensuing Reporting Period.

Stipulation III.B.1 provides for the development of documentation to seek a National Register of Historic Places (NRHP) nomination for the Colgate-Palmolive Historic District. A documentation report was prepared and submitted to the IN SHPO on August 31, 2006 in lieu of a nomination form. The property owners declined to provide access to complete the nomination, necessitating the preparation of the documentation report. It was submitted to the IHPAT for review and comment on October 26, 2006; no comments were received by the established deadline of December 1, 2006. Therefore, it is proposed to advance approval of the documentation report in the ensuing Reporting Period.

Stipulation III.C.1 provides for the development of documentation to seek a NRHP nomination for the Ohio Falls and Locomotive Company Historic District. The preparation of the NRHP nomination form is to be developed by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.E.1 provides for the preparation of an HPP for the Old Jeffersonville Historic District. During the Reporting Period, development of the HPP for the Old Jeffersonville HD continued. A public information meeting/working session was held on August 16, 2006 and another working session was convened at the IHPAT of December 13, 2006. Comments were duly noted and revision of the HPP would continue in the next Reporting Period.

Stipulation III.E.5 provides that the INDOT and KYTC will minimize the spacing between the proposed new Downtown Bridge and the existing Kennedy Bridge (I-65) consistent with prudent engineering principles. This will be evaluated in future bridge design phases.

Stipulation III.H.1 provides for the preparation of an HPP for the Utica Lime Industry Multiple Property Listing. Work on the development of the HPP for the Utica Lime Kilns is pending access from the property owner. Throughout the Reporting Period, repeated attempts (August 2, 2006, September 26, 2006, September 29, 2006, October 27, 2006, December 8, 2006 and December 21, 2006) to contact the property owner were unsuccessful. Additional attempts will be made in the following Reporting Period to contact the owner.

Stipulation III.H.8. requires the INDOT to develop and seek NRHP nomination for the Utica Lime Kiln Multiple Property Listing. The preparation of the NRHP nomination form is to be

developed by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.L.4. requires the INDOT to develop and seek NRHP nomination for the portion of the Swartz Farm lying to the west of the Project, including the house, barn and associated outbuildings. The preparation of the NRHP nomination form is to be developed by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.K.1 provides for the preparation of an HPP for the Butchertown Historic District. Work continued throughout the Reporting Period to develop the HPP. The Draft of the HPP was presented and discussed at working meetings of the KHPAT members on July 19, 2006 and September 26, 2006. At the working meetings, verbal comments were received and also sent to CTS-GEC in written form after the meeting for proposed revisions of the Draft HPP. Additional revisions of the Draft HPP are to follow in the ensuing Reporting Period to bring the HPP to approval of the BSMT.

Stipulation III.K.2 details the development of the Witherspoon Extension as part of the HPP. Recommendations on the Extension are included as an element of the Final Draft HPP for Butchertown. Studies were initiated by SDC 1 to determine the feasibility for the relocation of the Witherspoon Extension as recommended by the Final Draft HPP. On December 4, 2006, Louisville Metro provided Mayor Abramson's endorsement for Geiger alignment. On December 6, 2006, CTS-GEC and SDC 1 met with the Butchertown Neighborhood Association, which organization endorsed the Geiger alignment. A presentation of possible alternative locations was made at the KHPAT of December 14, 2006; the KHPAT endorsed the Geiger alignment at this meeting. Subsequent to this meeting, the KY SHPO indicated on December 26, 2006 that a 'De Minimis' Section 4(f) Finding would not be justified for the Witherspoon Extension. Additional coordination with the BSMT will continue in the following Reporting Period to finalize the selection of the preferred alignment.

Stipulation III.K.3 details the development of embankments or other designs that support aesthetically pleasing noise abatement measures, lighting and landscaping as part of the HPP. Recommendations on these elements are included as an element of the Draft HPP for Butchertown. SDC 1 has developed Aesthetic Design Guidelines for their section that will be applied as more detailed design is developed.

Stipulation III.K.11 provides for a study of Mellwood Avenue – Story Avenue to evaluate the elimination of the proposed connector and restoration of two-way traffic flow on Mellwood Avenue and Story Avenue. Recommendations on this connector were included as an element of the Draft HPP for Butchertown. Potential environmental effects and additional costs to the project will be assessed by the BSMT for a determination on how to proceed in the future.

Stipulation III.K.12 details the development of the streetscape improvements within the historic district as part of the HPP. Recommendations on streetscape improvements are included as an element of the Draft HPP for Butchertown. Streetscapes will be developed in more detail, as plans are more complete.

Stipulation III.K.14 details the development of roadway lighting within the viewshed of the historic district as part of the HPP. Recommendations on roadway lighting are included as an element of the Draft HPP for Butchertown. Lighting concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Lighting plans will be developed in more detail, as plans are more complete.

Stipulation III.L.1 provides for the preparation of an HPP for the Phoenix Hill Historic District. Work continued throughout the Reporting Period to develop the HPP. The Draft of the HPP was presented and discussed in working meetings of the KHPAT members on July 19, 2006 and September 26, 2006. At the working meetings, verbal comments were received and also sent to CTS-GEC in written form after the meeting for proposed revisions of the Draft HPP. A presentation of the Final Draft HPP was made at the KHPAT of December 14, 2006. Additional revisions of the Final Draft HPP are to follow in the ensuing Reporting Period to bring the HPP to approval of the BSMT.

Stipulation III.L.2 details the development of roadway lighting. Recommendations on roadway lighting are included as an element of the Draft HPP for Phoenix Hill. Lighting plans will be developed in more detail, as plans are more complete.

Stipulation III.L.7 details the development of placement of interpretative signage within the historic district as part of the HPP. Recommendations on interpretative signage were included as an element of the Draft HPP for Phoenix Hill. Interpretative signing plans will be developed in more detail, as plans are more complete.

Stipulation III.L.8 details the development of the streetscape improvements at specific locations within the historic district as part of the HPP. Recommendations on streetscape improvements at the specific locations defined in the MOA are included as an element of the Draft HPP for Phoenix Hill. Streetscapes will be developed in more detail, as plans are more complete.

Stipulation III.M.1 provides for the preparation of an HPP for the CERR/RRC. Work continued throughout the Reporting Period to finalize the INR and initiate development of the draft HPP. A working meeting to further refine the INR was held on July 19, 2006; this helped to finalize the INR, which was approved by the BSMT on July 31, 2006. On August 1, 2006, copies of the Final INR were distributed to the KHPAT for their information and use in the ensuing development of the draft HPP. Development of the HPP for the CERR/RRC began after that approval. Continuing development of the HPP will occur in the following Reporting Period.

Stipulation III.N.1 provides that the Project across the historic Drumanard property would be contained within a tunnel to minimize adverse effects. During the Reporting Period, design continued on both the main tunnel and an exploratory bore tunnel that will be used to obtain geotechnical information for the main tunnel design. Reconstruction of the exit ramp at US 42 was let to construction on May 25, 2006, with work beginning in June 2006. The relocation of the ramp work is expected to be completed in spring 2007. The reconstruction of this ramp will permit the exploratory tunnel work to occur in 2007. This construction also involved the addition of turning lanes and a traffic signal on US 42 at the ramps.

Stipulation III.N.2 provides that FHWA shall make every effort to acquire or otherwise establish an historic preservation easement for the entire Drumanard historic property. SDC 4

will develop plans for the Drumanard property that show the needed permanent and preservation/conservation easements that are required. Meetings were held with the Drumanard property owners on several occasions during the Reporting Period. Draft requirements for a preservation easement are being developed by the KYTC in conjunction with KY SHPO. Once this activity is completed, coordination with the property owner will continue.

Stipulation III.P.1 provides for the preparation of a Treatment Plan for Rosewell to minimize damage to the historic property. The draft edition of the Treatment Plan, which was initiated in April 2006, is near completion by SDC 4 and will be supplied to CTS-GEC for review and comment in the following Reporting Period.

STIPULATION IV: Archaeological Resources

The final identification, evaluation and determination of Project effects for archaeological resources were phased because alternatives consisted of large corridors where access was restricted during the EIS phase.

Human Remains: Due to the potential for encountering human remains, Ms. Wayna Roach, KYTC, initially prepared a draft human remains policy in August 2005. Comments from INDOT were received via email on September 11, 2006. Additional comments were received from IN SHPO (Dr. Rick Jones) on October 3, 2006. Mr. George Jones of FHWA confirmed that the document should be revised to respond to Indiana comments as much as possible within the parameters of the Project MOA. Ms. Wayna Roach revised the document and resubmitted it via email on October 13, 2006 to INDOT and the IN SHPO for final acceptance. On October 25, 2006, Mr. Koppel, INDOT, responded that he approved of the revised document; on November 13, 2006, comments from Mr. Tomak, also of INDOT, were received. On December 4, 8, and 18, 2006, phone messages were left for Dr. Jones attempting to solicit comments. On December 20, 2006, FHWA informed KYTC that KY-FHWA objects to final Human Remains Policy and Federal approval was revoked; specific reasons were not elaborated. Resolution of KY-FHWA concerns and IN SHPO comment is pending.

Native American Consultation (NAC): Upon consultation with Indiana FHWA, Mr. Jones indicated that NAC for Indiana Project archaeological reports will be handled in the same manner as Kentucky Project archaeological reports. This announcement was made on September 12, 2006 at the BSHCT meeting, the IN SHPO representative (Mr. John Carr) being present. On September 15, 2006, additional notification was sent to Dr. Rick Jones. Only those reports describing prehistoric sites or materials will be subject to NAC.

Archaeological GIS: . AMEC began work on July 31, 2006. A demo occurred on September 1, 2006 at the cooperating Kentucky statewide archaeological consulting firms (CRAI and UK-PAR) and KYTC. By December 2006, the general structure of the Archaeological GIS had been finalized and work was ongoing to develop data entry tables. In addition, 28 historic maps spanning the 18th to the early 20th century were added and rectified to lie accurately upon the modern landscape. Additional access options for cooperating and regulatory agencies will be available in early 2007. Work on the GIS is projected to be finished by fall 2007.

Section 1 Archaeological Exploration: Three previously unrecorded sites were found: 15Jf716, 15Jf717 and 15Jf718. All three were comprised of the remains of historic occupations of the

area from the mid-1800's to the present. Site 15Jf716 was not recommended for further work. Sites 15Jf717 and 15Jf718 have been recommended as potentially eligible for the NRHP. Negotiated scopes of work were submitted to the BSMT team via email on August 24, 2006. The final phase of archaeological excavation began on November 6, 2006. The Phase II/III work on 15JF717 is complete and a final on-site was held with the KY SHPO on November 22, 2006. Several intact structural features were located, as well as portions of two cobblestone streets. Phase II/III work on 15JF718 was finished on December 14, 2006. No further fieldwork will be required for the accelerated portion; a management summary is due in the following Reporting Period.

Section 4 Archaeological Exploration: A management summary was provided on March 6, 2006. A letter requesting edits to the management summary was sent to the contractor on July 17, 2006 and a revised report was received on August 15, 2006. Following final comment from CTS-GEC on September 15, 2006, the report was sent to the KY SHPO. On October 16, 2006, the SHPO issued a letter, in which they concurred with the recommendations of the report. On October 27, 2006, the SHPO letter was sent to CTS-GEC and SDC 4 and copied to the BSMT. The final report was sent to the Kentucky Office of the State Archaeologist. On November 29, 2006, Phase II scopes were requested from CRAI and on December 7, 2006, Phase II scopes of work were received. Once a revised scope is received, a meeting must be scheduled with FHWA and SHPO representatives to reach agreement concerning proposed excavations. It is hoped that Phase II work can begin in spring 2007; however, it is unlikely that all areas will be cleared by 2008.

Rosewell Basement Archaeology: As a by-product of the structural assessment of the house, archaeologists investigated a small portion of the basement. On August 17, 2006, a summary of the findings was forwarded to Mr. Robert Burry of Burry and Amos. On September 7, 2006, historical background information was sent to Ms. Roach from Burry and Amos to be utilized in the forthcoming archaeological report. That information has been forwarded to the archaeological consultant. The consultant plans to submit the report in the following Reporting Period.

Allison-Barrickman: On July 27, 2006, Ms. Roach revisited the cemetery to observe any changes occurring during blasting. No effect was noted, although the weather had caused one tree in the northeast corner to partially fall prior to the visit. On July 28, 2006, an e-mail summary of observations (blasting had no effect) was sent to the BSMT team. On August 17, 2006, the report was forwarded to Mr. Burry.

Geotechnical Monitoring for Archaeological Deposits: Information on Phases 2, 4, and 5 has been received from Mr. Patrick Kiser on a regular basis. CRAI is finishing monitoring of Phase 2 and will soon begin monitoring of Phases 4 and 5. Some of the monitored borings have yielded archaeological deposits (mostly ceramics, glass, and brick fragments) while other locations have appeared disturbed with no intact deposits. A report detailing the findings has been submitted. The report was forwarded to CTS-GEC and comments were received on October 26, 2006. The report was sent to the KY SHPO for comment on November 3, 2006; final comment is pending.

Hazmat Area Archaeology: Additional information will be available following Phase II testing that will inform potential archaeological investigations in those areas. In some cases, it is likely

that hazardous materials will prohibit archaeological testing or testing may need to wait until cleanup has been completed. Additional coordination between KYTC, FMSM and Wang will be necessary. Due to cost concerns, archaeologists will not monitor these borings, but rather the boring logs will be requested following completion. Results are pending.

Artifact Curation Policy: On August 3, 2006, a draft curation policy was submitted to the KY SHPO. On November 27, 2006, the KY SHPO and KYTC archaeologists met to discuss the final wording of the proposed curation policy. Agreement was reached at that meeting, and the policy was put out on the Kentucky Organization of Professional Archaeologists list serve for comment that day. Following a comment period, it is expected to be accepted and put into practice by all state consulting firms in the following Reporting Period.

Artifact Conservation: KYTC has purchased a conservation manual entitled "*The Archaeologist's Manual for Conservation.*" Following examination of this manual, discussion will be held with KYTC statewide archaeological providers to determine their capacity for conservation. In addition, the UK Program for Archaeological Research (UK-PAR) fell under new directorship at the beginning of May 2006. UK has, in the past, been capable of rather extensive conservation efforts. In the prior Reporting Period, Ms. Roach met with the new director of UK-PAR to explore potential curation abilities of the university. The lab has a number of pieces of equipment necessary to perform various curation procedures on a variety of material but does not currently have many experienced staff. A meeting was held at UK on November 16, 2006 to observe progress of a number of archaeological items undergoing conservation procedures.

Additional information regarding potential costs, funding options, and other potential conservation facilities will be gathered and submitted to the Project team in the near future. On November 28, 2006, FHWA and CTS-GEC indicated support for further investigation of conservation. On November 30, 2006, CTS-GEC forwarded to KYTC information that the Glenn A. Black Laboratory at Indiana University charged \$400 per box to conserve artifacts. Further information on other potential repositories is pending.

Underwater Archaeology: On October 19, 2006, the field survey was completed by Mainstream Divers of Paducah, Kentucky. A management summary was submitted on November 20, 2006. At a general archaeological contractors meeting, it was indicated that since the management summary, additional information had been received from Mainstream Divers and from archival research. The linear feature near the Indiana shore in the downtown section appears to be a 19th century floodwall, supported by historic sketch from 1876. The rectilinear feature near the Kentucky shore is still thought to be a flatboat and if so, will likely constitute a potentially eligible resource worthy of further research if it cannot be avoided.

On the East End, an unusual anomaly near the Indiana shore shows a large shadow with two spots of high reflectivity *within* the shadow; indicating something with holes in it. It is not clear what this anomaly represents; however, historic photos of the river bedrock seem to show very unusual bedrock features which occasionally is perforated. Additional archival research will be undertaken to finalize the report. The report is expected to be completed within the next Reporting Period.

Archaeological "Plan": After consultation, it was agreed that the MOA specifically stipulates that an overall "Plan" will be developed for the archaeology. On November 28, 2006, a meeting was held with Mr. Jones and CTS-GEC to coordinate the potential content of such a plan. It was agreed that the plan should address auxiliary areas (waste, borrow, staging, etc), the Archaeological GIS, SDC 2 and 5 underwater surveys and SDC 4 Phase II activities. The plan shall also include or make reference to the Human Remains Policy developed for this project. The plan may also address artifact conservation and final curation. Ms. Roach was assigned to draft the Kentucky portion of this plan, while CTS-GEC will develop the Indiana portion.

Section 3 Archaeological Exploration: CTS-GEC drafted a work plan for the Phase Ia archaeological survey of downtown Jeffersonville and a work plan for Phase Ia reconnaissance level investigations in the Fort Street area of the Indiana Downtown approach. Archaeological investigations would also be undertaken of the existing grounds of the five structures to be relocated from the Old Jeffersonville Historic District and the proposed grounds prior to the moving of these structures onto them, as stipulated in the MOA. It was determined that the activities proposed in the work plan would be placed on hold until the location of the bridge piers in Section 2 is known. At that time, the work plan would be re-evaluated to further define the needed surveys.

Section 6 Archaeological Exploration: ASC Group (ASC) has completed artifact analysis and write-up of the Phase II investigation of Site 12CL516. Although prehistoric ceramics and stone tools were recovered during the investigation, no intact sub-plow zone deposits or features were encountered. The site is recommended not eligible for NRHP listing. The report of investigations at 12CL516, 12CL525, and 12CL527 was presented in one document. A draft of the report was sent electronically to CTS-GEC for their review and comment on July 31, 2006. No comments were made by CTS-GEC and the report was forwarded to INDOT on August 2, 2006. Comments of the INDOT review archaeologist were received via a letter dated August 14, 2006. ASC revised the report and sent it to CTS-GEC on September 15, 2006. The report was sent to the IN SHPO for review and approval on September 21, 2006. On December 12, 2006, ASC received a letter of concurrence from the IN SHPO for the report. The only remaining task for these sites is the curation of materials from 12CL516 and 12CL525.

ASC made contact with the Indiana State Museum regarding curation of artifacts recovered at 12CL516 and 12CL762. The museum has provided ASC with a handbook of procedures for curation. Dr. Kevin Schwarz participated in a BSHCT meeting on September 12, 2006 by phone conference. An item of discussion at the meeting was the possibility of an interpretive display of artifacts from 12CL762, prior to curation. Dr. Schwarz also attended the IHPAT meeting on October 18, 2006 to discuss this possibility and the curation procedures. The discussion revealed interest in the interpretative display from the local preservation community and interest in having the artifacts curated at the University of Louisville, since it is nearby the project area. Curation of the artifacts was again discussed at the BSHCT meeting on November 14, 2006. INDOT archaeologist Curtis Tomak stated that INDOT had no objections to an interpretive display of the artifacts, but it is necessary to secure permission for whatever action is taken with regard to an interpretative display and/or curation from the landowner, the city of Jeffersonville. The possibility of curating the materials at the University of Louisville was further discussed and after the meeting more information on their curation facilities was reviewed by CTS-GEC and ASC via email. It has been determined that any display or curation of artifacts would occur subsequent to additional required investigations to promote efficient use of Project resources.

STIPULATION V: Unanticipated Discoveries

No previously unidentified property was discovered during the Reporting Period nor was a previously identified historic property affected in an unanticipated manner.

STIPULATION VI: Additional Historic Properties and Effects

Waste sites were identified and environmentally cleared for the construction of the US 42 Ramp reconstruction. All sites utilized with this construction were contained within existing right-of-way, predominantly within the I-71/ Gene Snyder Freeway interchange. Preliminary waste sites for the exploratory tunnel bore were identified and the environmental review of these sites will continue in 2007.

STIPULATION VII: Excess Right of Way

Work on development of plan details and identification of the right of way required for construction and operation of the Project was initiated during the Reporting Period. Excess right of way will be identified, as plans become more complete. Final right-of-way plans are expected in 2007 for Sections 1 and 4. The acquisition of one property based on hardship needs was completed. Two more hardship requests were received and appraisals were initiated on these properties.

STIPULATION VIII: Performance Standards

The FHWA shall ensure that the performance of all cultural resources work required in implementing this MOA shall be performed by or under the supervision of individuals that meet the *Secretary of the Interior's Professional Qualification Standards*. Work will be completed in accordance with appropriate federal and state standards and guidelines.

STIPULATION IX: Progress Reports

Progress Report Number 7 for the Reporting Period of July 1, 2006 through December 31, 2006 is provided in accordance with Stipulation IX. Prior to this Progress Report, the following Progress Reports have been provided:

Report No. 1 for April 1, 2003 – December 31, 2003 was sent on July 21, 2004
Report No. 2 for January 1, 2004 – June 30, 2004 was sent on September 20, 2004
Report No. 3 for July 1, 2004 – December 31, 2004 was sent on April 22, 2005
Report No. 4 for January 1, 2005 – June 30, 2005 was sent on October 10, 2005
Report No. 5 for July 1, 2005 – December 31, 2005 was sent on February 20, 2006
Report No. 6 for January 1, 2006 – June 30, 2006 was sent on November 28, 2006

STIPULATION X: Project Modification

No actions occurred during the Reporting Period causing the need for a modification of the MOA.

STIPULATION XI: Amendment

No signatory to the MOA requested an amendment during the Reporting Period.

STIPULATION XII: Failure to Comply/Termination

No signatories to the MOA identified any concerns during the Reporting Period that the terms of the MOA cannot be or are not being carried out. At the end of the Bridge Type Selection Process (BTSP), there were some individuals who felt that the historic context was not taken into consideration in the selection of a bridge type. A recommendation was made by the KHPAT to the (KHPAT) Co-chairs to elevate the perceived lack of consideration of the historic context in the BTSP to the BSHCT. The KHPAT also requested the scheduling of another coordination meeting to further discuss the BTSP. The BSHCT reviewed both of these requests. The BSHCT recommended to the BSMT that the historic context had been considered in the BTSP and that the request for an additional meeting was not required. It was noted that Chapter 5 of the Bridge Type Selection Report (BTSR) prepared by SDC 2 and SDC 5 included discussion of the historic context utilized throughout the BTSP. The BSMT accepted the recommendations of the BSHCT. As a result of this review, the BSMT determined that the BTSP was conducted with deference to the historic context, and that no additional action/meetings were required.

STIPULATION XIII: Dispute Resolution

No written objection from any of the signatories or concurring parties to this MOA was filed during the Reporting Period.

STIPULATION XIV: Duration

The MOA is in effect through April 1, 2023.

PART III – PROJECT MILESTONES

Coordination with the HPATs in the development of plan details to implement mitigation measures was identified in the MOA at a number of project milestones, including:

- Preliminary Plans (about 30%),
- Right of Way Plans (about 60%)
- Final Plans (about 90%)

During the Reporting Period, the BSMT continued work on the overall Project Management Plan, including a schedule for the likely activities to be included in the Project. A draft document is to be prepared by the BSMT for submittal to the FHWA in spring 2007.

Attachment D has been developed in more detail and will continue to be revised as a part of the future preparation of this Progress Report.

ATTACHMENT A

SIGNATORIES:

Federal Highway Administration

Advisory Council on Historic Preservation

Indiana State Historic Preservation Officer

Kentucky State Historic Preservation Officer

INVITED SIGNATORIES:

Indiana Department of Transportation

Kentucky Transportation Cabinet

CONCURRING PARTIES:

American Indian Movement – Mobile Chapter (MO-AIM)

Butchertown Neighborhood Association

City of Prospect

The Eastern Band of Cherokee Indians

The Shawnee Tribe

The Delaware Nation

ATTACHMENT B

SECTION 106 MEMORANDUM OF A AGREEMENT OUTLINE OF DOCUMENT CONTENT

- I. Project Coordination
 - A. Advisory Teams
 - B. Bi-State Historic Consultation Team
 - C. Bi-State Management Team
 - D. Ombudsmen
- II. Project Development
 - A. Project Goals
 - B. Public Involvement
 - C. Context Sensitive Solutions
 - D. Roadway Lighting
 - E. Noise Abatement
 - F. Historic Preservation Plans
 - G. Survey Updates
 - H. Historic Preservation Easements
 - I. National Register Documentation and Nomination
 - J. Streetscape Improvements
 - K. Interpretative Signage
 - L. Blasting and Vibration
 - M. Timing of Construction Activities
 - N. No-Work Zones
 - O. Smart Growth Conference
 - P. Education and Interpretation
- III. Site Specific Mitigation
 - A. Train Depot – Indiana
 - B. Colgate-Palmolive Historic District
 - C. Ohio Falls and Locomotive Historic District
 - D. George Rogers Clark Memorial Bridge
 - E. Old Jeffersonville Historic District
 - F. INAAP Igloo Storage Historic District
 - G. Lentz Cemetery
 - H. Lime Kilns within the Utica Lime Industry Multiple Property Listing
 - I. Swartz Farm Rural Historic District
 - J. Trolley Barn
 - K. Butchertown Historic District
 - L. Phoenix Hill Historic District
 - M. Country Estates Historic District/River Road Corridor
 - N. Drumanard
 - O. Allison-Barrickman House
 - P. Rosewell
 - Q. Bellevue
- IV. Archaeological Resources
 - A. Implementation Standards

- B. Identification
- C. Evaluation
- D. Assessment of Effects
- E. Treatment
- F. Qualifications and Reporting
- G. Distribution of Final Reports
- V. Unanticipated Discoveries
- VI. Additional Historic Properties and Effects
- VII. Excess Right of Way
- VIII. Performance Standards
- IX. Progress Reports
- X. Project Modification
- XI. Amendment
- XII. Failure to Comply/Termination
- XIII. Dispute Resolution
- XIV. Duration

**ATTACHMENT C
MOA COMMITMENT TRACKING TABLE**

(Note - the intent of Attachment C is to provide an summary of commitments made in the Project MOA and provide a status of each. For the complete description of each commitment, please refer to the Project MOA)

STIPULATION I - PROJECT COORDINATION											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub- consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					A		Advisory Teams				
C		CTS	NR	NR		1	Convene IHPAT and KHPAT	December 31, 2003 (to convene initial HPATs)	IHPAT - December 16, 2003 KHPAT - December 17, 2003	None	Three IHPAT and four KHPAT (including one working session in May 2006) meetings were convened during the Reporting Period.
U		CTS	NR	NR		2	Advisory Teams will assist the BSHCT and BSMT in developing Project design details to implement measures stipulated in the MOA	None Established		None	IHPAT & KHPAT consulted during the reporting period on the Bridge Type Selection Process, US 42 Ramp Relocation plans and the I-65 Accelerated Sections.
C	INDOT/ INSHPO/ KYTC/ KHC	NR	NR	NR		3	Each Advisory Team will be Co-chaired by a representative of the respective STA and SHPO		IHPAT - December 16, 2003 KHPAT - December 17, 2003	None	Each Advisory Team has designated Co-chairs as directed. No modifications in the assignment of the Co-chair position occurred during the Reporting Period.
U		CTS	NR	NR		4	Representatives of the following will be invited by FHWA and IHPAT Co-chairs to participate on the IHPAT: City of Jeffersonville Historic Preservation Commission, Clark County, City of Jeffersonville, Town of Utica, Jeffersonville Main Street Association, Clarksville Historical Society, Town of Clarksville, Clark County Historian, Rose Hill Neighborhood Association, Historic Landmarks Foundation of Indiana and Jeff-Clark Preservation, Inc.		December 16, 2003	None	No modifications to the representation of the IHPAT occurred during the Reporting Period.

STIPULATION I - PROJECT COORDINATION (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub- consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
C		CTS	NR	NR		5	Representatives of the following will be invited by FHWA and KHPAT Co-chairs to participate on the KHPAT: Louisville/Jefferson County Metro Government Historic Preservation Office, Louisville/Jefferson County Metro Government, Butchertown Neighborhood Association, City of Prospect, Phoenix Hill Neighborhood Association, River Fields, Inc., National Trust for Historic Preservation		December 17, 2003	None	No modifications to the representation of the KHPAT occurred during the Reporting Period.
NI		CTS	NR	NR		6	Additional participants may be invited to participate on the Advisory Teams at the discretion of the Advisory Team Co-chairs.			None	
NI		NR	NR	NR		7	ACHP may participate as it sees fit on an ad-hoc basis.			None	ACHP did not participate during the Reporting Period
C	INDOT/ INSHPO/ KYTC/ KHC	NR	NR	NR		8	The Co-chairs will convene the Advisory Teams for an initial organizational kick-off meeting to establish process and procedure for operation of the Advisory Teams.	As soon as practical		None	Refer to A.1 above
U	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR		9	Co-chairs will convene additional meetings at the following times to review plans, comment & make recommendations				
C		CTS	R	NR		a	Prior to development of scopes of work for the HPPs	None Established	IHPAT - July 14, 2004 KHPAT - July 15, 2004	Presentation to HPATs	1) Draft HPP scopes of work presented at the 5/19/04 IHPAT and 5/20/04 KHPAT. 2) Final HPP scopes of work presented at the 7/14/04 IHPAT and 7/15/04 KHPAT.

STIPULATION I - PROJECT COORDINATION (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub- consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		NR	NR	NR		b	Prior to selection of contractors for preparation of HPPs and Treatment Plans	None Established			1) CTS-GEC was selected as the contractor to prepare the HPPs at the 7/14/04 IHPAT and 7/15/04 KHPAT. 2) At the 12/8/05 KHPAT HWL was selected to prepare the Treatment Plan for Rosewell.
C		CTS	NR	All		c	Prior to development of scopes of work for the section design consultants	None Established		Presentation to HPATs	1) KTA (SDC 1) and HWL (SDC 4) presented a scope overview at 9/14/04 KHPAT. 2) BFS (SDC 3) and IAP (SDC 6) presented a scope overview at 10/27/04 IHPAT. 3) MB (SDC 2) and PB (SDC 5) provided a joint scope overview at the 7/20/05 IHPAT and the 7/21/05 KHPAT.
NI		CTS	R, GP	All		d	Prior to the preliminary plan field check inspections (about 30%)		1) Section 1 - 9/22/05 2) Section 4 - 10/19/06		1) KTA presented the Preliminary Line and Grade plans (approx. 30%) at KHPAT meeting of 9/22/05. 2) HWL presented Preliminary Line and Grade plans (approx. 30%) at KHPAT of 10/19/06.
NI		CTS	R, GP	All		e	After preliminary plans are revised, to report on how comments were addressed (about 30%)		1) Section 1 - 10/25/05 2) Section 4 - 12/14/06		1) KTA presented updated Preliminary Line and Grade plans (approx. 30%) at KHPAT meeting of 10/25/05. 2) HWL presented updated Preliminary Line and Grade plans (approx. 30%) at KHPAT of 12/14/06.
NI		CTS	R, GP	All		f	Prior to design approval (about 60%)				Work activity has not been initiated
NI		CTS	R, GP	All		g	Prior to the final plan inspections (about 90%)				Work activity has not been initiated
NI		CTS	R, GP	All		h	After final plans are completed, to report on how comments				Work activity has not been initiated
					B		Bi-State Historic Consultation Team				

STIPULATION I - PROJECT COORDINATION (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
C	INDOT/INSHPO/KYTC/KHC	CTS	NR	NR		1	BSHCT shall consist of representatives of FHWA, INDOT, KYTC and the respective SHPOs				No modifications to the representation of the BSHCT occurred during the Reporting Period.
U	INDOT/INSHPO/KYTC/KHC	CTS	NR	NR		2	BSHCT will advise the Bi-State Management Team as to appropriate design & construction approaches so as to comply with the MOA				Condition has been met and will continue to be met the duration of the Project.
U	INDOT/KYTC	NR	NR	NR		3	BSHCT may make final decisions as delegated by the Bi-State Management Team				Stipulation not implemented during the Reporting Period, but will be considered through the duration of the Project.
U	INDOT/INSHPO/KYTC/KHC	CTS	NR	NR		4	BSHCT shall convene to consider recommendations provided by the HPATs and prepare recommendations to the BSMT				The BSHCT met four times during the Reporting Period. Recommendations carried forward to the BSMT, as appropriate.
U	INDOT/INSHPO/KYTC/KHC	CTS	NR	NR		5	BSHCT to ensure comments & recommendations given full consideration in preparing its recommendations to the Bi-State Management Team				Condition has been met and will continue to be met the duration of the Project.
					C		Bi-State Management Team				
C	INDOT/KYTC/FHWA	NR	NR	NR		1	Bi-State Management Team to consist of representatives of INDOT, the KYTC & FHWA who shall have authority for final approval of actions.				No modifications to the representation of the BSMT occurred during the Reporting Period.
U	INDOT/KYTC/FHWA	NR	NR	NR		2	Bi-State Management Team to ensure consultant services procured include professionals with the appropriate experience to implement provisions of MOA.				Condition has been met and will continue to be met the duration of the Project.
U	INDOT/KYTC/FHWA	CTS	NR	NR		3	Bi-State Management Team to prepare progress reports as set forth in Stipulation IX.				Condition to be met the duration of the Project.

STIPULATION I - PROJECT COORDINATION (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub- consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U	INDOT/ KYTC/ FHWA	CTS	NR	NR		4	Bi-State Management Team to give full consideration to recommendations of BSHCT for incorporation into final plans.				Condition has been met and will continue to be met the duration of the Project.
					D		Ombudsmen				
U	INDOT/ KYTC	CTS	NR	NR		1	Bi-State Management Team to ensure retainment of two Ombudsmen	None Established		To be retained for the duration of the project.	1) KY Ombudsman - Mr. Lee Walker was selected and began work on 11/1/04. 2) IN Ombudsman - List of potential candidates at the Executive Office of INDOT for consideration.
U	INDOT/ KYTC	CTS	NR	NR		2	Ombudsmen should have demonstrated communication & conflict resolution skills, as well as a working knowledge of historic preservation practices as related to the goals of the Project.				Ongoing
U	INDOT/ KYTC	CTS	NR	NR		3	Ombudsmen will be responsible for communicating with the public and investigating reported problems on all aspects of the Project. Will report to the Bi-State Management Team.	As appropriate			Condition has been met and will continue to be met the duration of the Project.
U	INDOT/ KYTC	CTS	NR	NR		4	Ombudsmen will be located in an office(s) within or close to the Project area.	None Established			Mr. Walker's office is located adjacent to the CTS-GEC office, which is in close proximity to the Project area. Once an Indiana ombudsmen is selected by INDOT, efforts will be initiated to locate an office within or near the Project area.

STIPULATION I - PROJECT COORDINATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U	INDOT/ KYTC	CTS	NR	NR		5	Reasonable efforts will be made to locate the offices of the ombudsmen in historic properties.	None Established			The INDOT recently acquired the historic Train Depot. Once rehabilitated, consideration will be given to use as the office for the Indiana ombudsmen.
U	FHWA/ INDOT/ KYTC	CTS	NR	NR		6	Roles & responsibilities of the Ombudsmen to be further defined in the management plan of the Project.				

KEY:

1 - Status				
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated

2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation	KYTC - Kentucky Transportation Cabinet		
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office	KHC - Kentucky Heritage Council		
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner	Q - QK4		
IN SDCs	BFS - Butler, Fairman and Seufert	IAP - Indiana Approach Partners		
SDCs 2 & 5	PB - Parson Brinkerhoff	MB - Michael Baker		
	NR - Not Required			

STIPULATION II - PROJECT DEVELOPMENT											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	R, GP	All	A		Project goal is to avoid adverse effects to the environment and historic properties to the maximum extent possible.				Ongoing
U		CTS	R, GP	All	B		Informational meetings convened by respective STAs for public views	None established			Refer to pg. 10 of Progress Report for meetings of the AAT, RAC and Open Houses.
U		CTS	R, GP	All	C		The roadway, bridges, and other Project elements shall be designed and constructed with sensitivity to aesthetic values, historic cultural landscapes and the historic context.	None established			Design Guidelines developed for Sections 1 and 4; Historic Preservation Plans / Immediate Needs Reports consider elements as well.
U		CTS	R, GP	All	D		Roadway lighting within the viewshed of historic properties & navigational lighting designed and constructed to minimize dispersion of light beyond highway right-of-way and include state-of-the-art techniques and systems.	None established			To be established for site specific properties indicated in Stipulation III.
U		CTS	R, GP	All	E		Design Project so as to minimize adverse noise effects on historic properties in accordance with state and federal noise regulations, policies, and guidance, including special consideration of enhanced noise abatement measures for historic properties.	None established			1) KTA evaluating potential barrier adjacent to Clifton Neighborhood. 2) HWL completed Draft Noise Report, currently pending BSMT approval.
							HPPs prepared for historic properties and districts	Prior to Preliminary Field Check Plans		HPP	
U		CTS	R, GP	NR		1	HPPs to meet the following provisions	None established			
C		CTS	R, GP	NR		a	Scopes of Work to be developed in consultation with the respective HPATs.				See Stipulation I.A.9.a update

STIPULATION II - PROJECT DEVELOPMENT (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	R, GP	NR		b	To be developed in consultation with owners of historic properties and/or related neighborhoods.				Condition considered throughout development of the HPP. Several meetings have occurred with stakeholders of historic properties where HPPs are being developed.
U		CTS	R, GP	NR		c	Consent to be obtained prior to entry on privately owned property.				Obtained as needed
U		CTS	R, GP	NR		d	To consider and build upon existing studies and plans.				Condition considered throughout development of the HPP.
U		CTS	R, GP	NR		e	Be developed in cooperation with the appropriate local government and approved by the respective SHPO.				Condition considered throughout development of the HPP. Louisville Metro Government & City of Jeffersonville have been involved in the development of the respective HPPs.
U		CTS	R, GP	NR		f	To recognize the unique character, context, and historic significance of each resource/ area. Identify ways to protect and enhance the historic qualities.				Condition considered throughout development of the HPP.
U		CTS	R, GP	NR		g	To consider land use, transportation patterns & other urban/suburban planning				Condition considered throughout development of the HPP.
NI		CTS	R, GP	NR		h	Present to relevant city/county governments & KIPDA for incorporation into local land use planning processes.				HPPs have not yet been fully developed. Once develop, the plans will be presented to the entities stipulated for the reasons also stipulated.
U		CTS	R, GP	NR		2	HPPs to be prepared for the following properties:	Prior to Preliminary Field Check Plans			
U		CTS	R, GP	NR		a	Old Jeffersonville Historic District				Development of Draft HPP continued during the Reporting Period. Draft B of the HPP was provided to IHPAT members on November 29, 2006 followed by a work session on December 13, 2006.
NI		CTS	R, GP	NR		b	Township of Utica Historic Lime Industry				Awaiting property owner permission prior to accessing property to initiate development of the HPP.
U		CTS	R, GP	NR		c	Swartz Farm Rural Historic District				Development of Draft HPP continued during the Reporting Period. Draft B of the HPP was provided to IHPAT members on November 29, 2006 followed by a work session on December 13, 2006.
NR		CTS	R, GP	NR		d	James Smith Rural Historic Farmstead				Owners elected to not donate the portion of the property determined eligible for the NRHP by not responding by the established deadline; June 14, 2006.

STIPULATION II - PROJECT DEVELOPMENT (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	R, GP	NR		e	Butchertown Historic District				KHPAT work sessions held on July 19, 2006 and September 26, 2006 to further advance the Final Draft HPP.
U		CTS	R, GP	NR		f	Phoenix Hill Historic District				KHPAT work sessions held on July 19, 2006 and September 26, 2006 to further advance the Final Draft HPP.
U		CTS	R, GP	NR		g	Country Estates of River Road / River Road Corridor				KHPAT work sessions held on July 19, 2006; INR approved by BSMT on July 31, 2006.
					G		Survey Updates	Within five years following ROD		Revised Clark County Interim Report and Jefferson County Inventory	
NI	INDOT	NR	NR	NR		1	Clark County Interim Report and Indiana Historic Sites and Structures Inventory of Clark County	September 6, 2008		Revised Clark County Interim Report	The scope of work for the survey is under discussion for resolution by the INDOT and the INSHPO.
NI	KYTC	NR	NR	NR		2	The Jefferson County Inventory and Survey of Historic Sites in Kentucky	September 6, 2008		Revised Jefferson County Inventory	Metro Government is currently preparing the scope of work and coordinating with KYTC and KHC.
U		CTS	NR	BFS, IAP, KTA & HWL	H		Historic Preservation Easements to be placed on properties identified in Stipulation III in perpetuity	None established			To be established for site specific properties indicated in Stipulation III.
NI	INDOT	CTS	R, GP	NR	I		Preparation of NRHP documentation and nomination of properties identified in Stipulation III.	Within first three years following the ROD (September 2006)			Refer to Stipulation III.A.4, III.B.1, III.C.1, III.H.8, III.I.4 and III.I.9.d.
U		CTS	R, GP	All	J		Streetscape improvements to be designed in consultation with the respective SHPOs and occur within the public right-of-way. Obtain right-of-way title holder approval prior to construction.	None established			To be established for site specific properties indicated in Stipulation III.
NI		CTS	R, GP	All	K		Interpretative signage to be placed within the right-of-way of public streets or on easements to explain significance of the historic properties. Obtain right-of-way title holder approval prior to	None established			To be established for site specific properties indicated in Stipulation III.

STIPULATION II - PROJECT DEVELOPMENT (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					L		Blasting & Vibration				
NI		CTS	R, GP	All		1	Ensure construction blasting/vibration plans and bridge pier construction plans are developed by the contractor(s).	Prior to construction			To be established for site specific properties indicated in Stipulation III.
NI						2	Plans to be coordinated with the contract documents, special provisions and notes included in the construction plans.				Work activity has not been initiated
NI		CTS	R, GP	NR		3	BSMT to determine whether damage has occurred as a result of Project activities.	As needed			Work activity has not been initiated
NI		CTS	R, GP	NR		4	INDOT/KYTC shall be responsible for repair of any blast and vibration damage to historic properties. Repairs to be carried out in accordance with the Secretary's	Prior to entry			Work activity has not been initiated
NI		CTS	R, GP	All		5	Obtain consent for entry of privately owned property to access monitoring equipment or damage repair.	Prior to entry			Work activity has not been initiated
NI		CTS	R, GP	All	M		Include in the Project contracts provisions that limit construction activities and construction noise during specific periods of time.	None established			Work activity has not been initiated
NI		CTS	R, GP	All	N		Establish no-work zones on construction plans within the NRHP boundaries of properties identified in Stipulation III.	None established			Work activity has not been initiated
U		CTS	NR	NR	O		Sponsor a Smart Growth Conference for the Louisville - Southern Indiana region to inform local governments about the opportunities of smart growth.	September 2006			Six Organizational Committee meetings were convened during the Reporting Period (refer to pgs. 9-10 of Progress Report). The Smart Growth Conference is scheduled for 9/18/06 - 9/20/06.
					P		Education & Interpretation				
U	FHWA	NR	NR	NR		1	Ensure that sensitive information about the location and nature of archaeological resources identified in the APE are not disclosed.	None established			Condition to be met the duration of the Project.

STIPULATION II - PROJECT DEVELOPMENT (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI		CTS	R, A, GP	NR		2	Develop a Popular Report, in plain English intended for general public, describing history, historic properties and archaeological resources identified in the APE. Draft copy to be provided to parties for 30-day review; comments to be considered in final report.	None established			Work activity has not been initiated
U		CTS	R, GP	NR		3	Develop an exhibit for display in the museum to be established at the Trolley Barn. Exhibit to showcase local history and the historic properties identified during the Project within a regional context and educate visitors about the Federal regulatory	Every effort to have exhibit completed for presentation at the National Trust for Historic Preservation Conference held in Louisville in 2004.		Trolley Barn Exhibit	Draft exhibit displayed at the National Trust for Historic Preservation Annual Conference held in September/October 2004. Final exhibit to be placed in Trolley Barn upon its rehabilitation.
U	KYTC	NR	NR	NR		a	Exhibit is to be prepared by a professional in the development of museum exhibits.				
U		CTS	R, GP	NR		b	During preparation parties will have opportunities to review and provide comments on the proposed exhibit.				HPATs were provided an opportunity to comment on Draft exhibit.

KEY:

1 - Status				
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated

2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation		KYTC - Kentucky Transportation Cabinet	
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office		KHC - Kentucky Heritage Council	
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner	Q - QK4		
IN SDCs	BFS - Butler, Fairman and Seufert		IAP - Indiana Approach Partners	
SDCs 2 & 5	PB - Parson Brinkerhoff		MB - Michael Baker	
	NR - Not Required			

STIPULATION III - SITE SPECIFIC MITIGATION											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					A		Train Depot				
NI		CTS	R	BFS		1	Streetscape improvements				Work activity has not been initiated
C	INDOT	NR	NR	NR		2	Good faith effort to be made in acquiring site at fair market value from a willing seller.				INDOT is in possession of the Train Depot.
NI	INDOT	CTS	NR	NR		a	Make available during the construction period to house personnel assigned to the Project & the Indiana Ombudsman.				Work activity has not been initiated
NI	INDOT	CTS	NR	BFS		b	Any work to rehabilitate to be done in accordance with the Secretary of Interior Standards.				Work activity has not been initiated
NI	INDOT	CTS	NR	BFS		c	Place preservation easement on property & sell at fair market value or donate to a local government.				Work activity has not been initiated
NR		NR	NR	NR		3	If unable to acquire, implement alternative mitigation measures.				The implementation of this alternative is not necessary as INDOT has successfully acquired the property.
NI		INDOT	NR	BFS		4	Document and seek NRHP nomination.	September 2006		Completed NRHP Nomination Form	INDOT prepared NRHP Nomination Form and submitted to the INSHPO. It is to be reviewed by the Indiana Historic Preservation Board during the next reporting period.
NI		CTS	R	BFS		5	Develop and place interpretative signage.				Work activity has not been initiated
					B		Colgate-Palmolive Historic District				
U		INDOT	NR	NR		1	Document and seek NRHP nomination	September 2006		Completed NRHP Nomination Form	INDOT prepared a documentation report in lieu of NRHP Nomination Form due to property owner refusal.
NI		CTS	R	BFS		2	Develop and place interpretative signage				Work activity has not been initiated
					C		Ohio Falls Car and Locomotive Company Historic District				
NI		CTS	R	NR		1	Document and seek NRHP nomination	September 2006		Completed NRHP Nomination Form	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
NI		CTS	R	BFS		2	Develop and place interpretative signage				Work activity has not been initiated
NI		CTS	R	BFS		3	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					D		George Rogers Clark Memorial Bridge				
NI		CTS	R	BFS		1	Avoid displacement of the historic bridge pylons on the IN approach to the bridge				Work activity has not been initiated
NI		CTS	NR	BFS		2	If displacement cannot be avoided, develop and implement a Treatment Plan to minimize damage to the original contributing elements.				Work activity has not been initiated
NI		CTS	NR	BFS		3	Relocate pylons in a way to ensure protection of the NRHP designation.				Work activity has not been initiated
					E		Old Jeffersonville Historic District				
U		CTS	R	NR		1	Develop a HPP	Prior to Preliminary Field Check Plans		HPP	A public meeting was held on August 16, 2006 on Draft A of the HPP. Draft B of the HPP was sent to the IHPAT on November 29, 2006. An IHPAT work session was held on December 13, 2006 to refine the plan.
NI		CTS	R	NR		2	Present HPP to the City of Jeffersonville for potential use as a component of the City's Comprehensive Plan.				The City of Jeffersonville has been and will continue to be involved in the development of this HPP. Once completed it will be presented to the City.
NI		CTS	R	BFS		3	Design and construct streetscape improvements within the HD between Spring Street and the Project.			Streetscape Plan for improvements to the designated areas	Work activity has not been initiated
NI		CTS	R	BFS		4	Design and construct pedestrian friendly facilities within IN ROW under the new bridge and existing I-65 bridge.				Work activity has not been initiated
U		CTS	R	BFS		5	Minimize spacing between the existing bridge and the proposed downtown bridge consistent with prudent engineering principles.				To be evaluated further in future advancement of the bridge design.
NI		CTS	R	BFS		6	Develop and implement highway signage plan that minimizes the number of overhead directional signs on the approaches.				Work activity has not been initiated
NI		CTS	R	BFS		7	Relocate five contributing structures (115 Fort St., 116 Fort St., 502 W. Market St. 432 W. Riverside Dr. and 502 W. Riverside Dr.) that would otherwise be demolished by the Project.				Work activity has not been initiated

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	INDOT	CTS	R	BFS		a	Make a reasonable effort to purchase vacant lots within the district from a willing seller at fair market value. House to be moved & placed on new foundations in accordance with approaches recommended in Moving Historic Buildings. Relocated houses to be sold at fair market value.	During the Acquisition Phase			Work activity has not been initiated
NI	INDOT	CTS	NR	BFS		b	Place preservation easement on relocated houses.				Work activity has not been initiated
NI	INDOT	NR	NR	NR		c	Stipulate through a covenant the purchaser must occupy house for five years and maintain in accordance with preservation standards.				Work activity has not been initiated
NI		CTS	R	NR		8	If unable to obtain suitable property within the district, prepare & implement a marketing plan for relocation by others at a nominal fee.				Work activity has not been initiated
NI	INDOT	CTS	NR	BFS		a	Plan to include information about the buildings.				Work activity has not been initiated
NI	INDOT	CTS	NR	BFS		b	Upon INSHPOs agreement, INDOT to implement for a minimum of six months.				Work activity has not been initiated
NI	INDOT/INSHPO	NR	NR	NR		c	INDOT to review with INSHPO all offers prior to acceptance.				Work activity has not been initiated
NI		CTS	R	NR		9	If no acceptable offer made that conforms to the requirements for rehabilitation & maintenance, may donate property to a local government				Implementation of activity not yet warranted
NI		CTS	R	BFS		10	Design and construct roadway lighting in the viewshed of district as set forth in Stipulation II.D.				Work activity has not been initiated
NI		CTS	R	BFS		11	Implement noise abatement measures as set forth in Stipulation II.E.				Work activity has not been initiated
NI		CTS	R	BFS		12	Timing of construction activities shall be scheduled to limit construction activities which may result in additional impacts to the district.				Work activity has not been initiated
NI		CTS	R	BFS		13	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					F		INAAP Igloo Storage Historic District				
NI		CTS	R	IAP		1	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.L. Plan to include provisions for blast monitoring & repair if the Department of the Army grants access.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
					G		Lentz Cemetery				
NI		CTS	R	IAP		1	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI		CTS	R	IAP		2	Design the section of roadway adjacent to the cemetery to minimize noise to the property and complement the refinement with landscaping within the public right-of-way.				Work activity has not been initiated
					H		Lime Kilns within the Utica Lime Industry Multiple Property Listing				
NI		CTS	R, GP	NR		1	Develop a HPP to include a Context Study focusing on the development of the lime industry. Develop and publish a pamphlet for public distribution presenting the results of the lime industry study	Prior to Preliminary Field Check Plans		HPP & Lime Kiln Pamphlet	Initiation of work activities is pending authorization to access the property by the owner.
NI		CTS	R	NR		2	Prepare a condition report of the resources, which includes photographs, to establish a baseline condition.	Prior to initiating construction activities within 1,000 feet of the lime kilns			Work activity has not been initiated
NI		CTS	R	IAP		3	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.L. To be developed for kilns identified as IE-HC-48002, IE-HC-48003 and IE-HC-48004.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI		CTS	R	IAP		4	Delineate a "no-work zone" around the three lime kilns, noted above, which is to extend 100 feet from the kilns.				Work activity has not been initiated
NI		CTS	R	NR		5	Repair any damage caused by the Project to the lime kilns				No damages have occurred as a result of the Project

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	INDOT	NR	NR	NR		6	Acquire Lime Kiln IE-HC-48004; place a preservation easement on the property and sell it to a preservation organization or donate it to a local government	During the Right-of-Way Phase			Work activity has not been initiated
NI		CTS	R	IAP		7	Develop and design an interpretive marker along Utica Pike describing the importance of the lime industry & significance of the kilns.			Interpretive Marker	Work activity has not been initiated
NI		CTS	GP	IAP		8	Document and seek NRHP nomination	September 2006		Completed NRHP Nomination Form	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
					I		Swartz Farm Rural Historic				
U		CTS	R	NR		1	Develop thematic context study for agriculture in Clark County, Indiana and surrounding counties to assist in future nominations in the region.			HPP Context Study	Draft B of the HPP was sent to the IHPAT on November 29, 2006. An IHPAT work session was held on December 13, 2006 to refine the plan. The thematic context study is to be included as part of the NRHP Nomination Form.
U	INDOT	NR	NR	NR		2	Purchase the portion of Swartz Farm lying on the west side of the Project				INDOT is currently in the process of negotiating the acquisition with the next of kin.
NI		CTS	R	IAP		3	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI		CTS	GP	NR		4	Document and seek NRHP nomination of the remaining farmstead	September 2006		Completed NRHP Nomination Form	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
NI		CTS	R	IAP		5	Delineate a "no-work zone" around remaining Swartz farmstead				Work activity has not been initiated
NI		CTS	NR	IAP		6	Place a preservation easement on property and sell to a historic preservation organization or donate to a local government or it can be sold to anyone who would accept the preservation easement.				Work activity has not been initiated
NI		CTS	NR	IAP		7	Prepare archival documentation for Central Passage House	Prior to the Central Passage House demolition			Work activity has not been initiated
NI		CTS	R	IAP		8	Develop and implement a landscaping plan to minimize visual impacts of the Project.				Work activity has not been initiated

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NR	NR	NR	NR	NR		9	Accept by donation and preserve the portion of the James Smith Farm that was determined eligible for the NRHP:				During the Reporting Period additional coordination with the owners occurred. A deadline of June 14, 2006 was established for a decision on the donation of the property to INDOT. As no response was received by the deadline, the BSHCT will recommend abandonment of the stipulated measures during the next Reporting Period.
NR	NR	NR	NR	NR		a	Develop and implement a plan to stabilize the property			Stabilization Plan	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR		b	Place a preservation easement on property and sell to a historic preservation organization or donate to a local government			Preservation Easement	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR		c	Prior to sale or donation, fund exterior rehabilitation of the structure				Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR		d	Develop HPP			HPP	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR		e	Document and seek NRHP nomination and listing			Completed NRHP Nomination Form	Work activity no longer required due to refusal by the owner to donate the property.
					J		Trolley Barn				
U	FHWA	NR	NR	NR		1	Rehabilitation shall be developed and implemented in consultation with the KY-SHPO				Rehabilitation of the Trolley Barn is underway. An outlay of the annual funding allotted is included in the ROD.
					K		Butchertown Historic District				
U		CTS	R, GP	Q		1	Develop a HPP, which shall develop a thematic context to assist with future nominations in the region. Plan is to be coordinated with latest development plans available from Metro Government.	Prior to Preliminary Field Check Plans		HPP	Work sessions held on July 19, 2006 and September 26, 2006 to refine the Final Draft of the HPP.
U		CTS	R, GP	Q		2	Develop the Witherspoon Extension in accordance with provisions of HPP as the principal east-west route through the district and implement measures that encourage north-south traffic through the district to use Clay Street and Frankfort Avenue.				Witherspoon / Geiger extension alternatives presented to KHPAT at the meeting of December 14, 2006. A decision as to the final alternative is pending approval by the BSMT.

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	R, GP	Q		3	Design and construct roadway sections along north side of district using embankments or other designs that support aesthetically pleasing noise abatement measures, lighting and landscaping that maintain the context of the district.				Recommendations on these elements are included as part of the Final Draft HPP.
NI		CTS	NR	Q		4	Edison House - Provide funding for the exterior rehabilitation and conduct a noise study to determine whether interior noise abatement measures are justified.	During development of detailed plans			Work activity has not been initiated
NI		CTS	NR	Q		5	Wesley House - Provide funding for the exterior rehabilitation and conduct a noise study to determine whether interior noise abatement measures are justified.	During development of detailed plans			Work activity has not been initiated
NI		CTS	NR	Q		6	St. Joseph's Church - Conduct a noise study for St. Joseph's Church to determine whether interior noise abatement measures are justified for the Church, Day Care Center and School.	During development of detailed plans			Work activity has not been initiated
NI		CTS	NR	Q		7	Franklin Street Baptist Church - Conduct a noise study for Franklin Street Baptist Church to determine whether interior noise abatement measures are justified.	During development of detailed plans			Work activity has not been initiated
NI		CTS	NR	Q		8	Marcus Lindsay Methodist Church - Conduct a noise study for Marcus Lindsay Methodist Church to determine whether interior noise abatement measures are justified.	During development of detailed plans			Church is presently for sale
NI		CTS	NR	Q		9	Grace Immanuel united Church of Christ - Conduct a noise study for Grace Immanuel United Church of Christ to determine whether interior noise abatement measures are justified.	During development of detailed plans			Work activity has not been initiated

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI		CTS	R, GP	Q		10	Grocers Ice and Cold Storage Company - Provide a plan for adaptive reuse the property; if plan cannot be developed, develop documentation of the property and proceed to demolish the structure once the documentation has been accepted.				Work activity has not been initiated
U		CTS	NR	Q		11	Mellwood Avenue/Story Avenue Connection - Conduct a study of the Connector in order to evaluate the elimination of this proposed connector and restoration of two-way traffic flow on these two roads.	During development of detailed plans			Recommendations on this element are included as part of the Final Draft HPP. A final report on the study was submitted by SDC 1 during the Reporting Period.
U		CTS	R, GP	Q		12	Design and construct streetscape improvements within the entire district in accordance with with provisions of the HPP and the KHCs streetscape design guidelines.			Streetscape Plan for improvements to the designated areas	Recommendations on streetscape improvements at specific locations are included as part of the Final Draft HPP. Development of specific streetscape plans have not been initiated.
NI		CTS	R, GP	Q		13	Design and construct traffic calming measures on existing streets in the district with attention to the expansion of east downtown Louisville and Phase Two of Waterfront Park.				Work activity has not been initiated
U		CTS	R, GP	Q		14	Design and construct roadway lighting within the viewshed of the district				Recommendations on roadway lighting are included as part of the Final Draft HPP.
U		CTS	R, GP	Q		15	Implement noise abatement measures as set forth in Stipulation II.E where the Project is expected to adversely effect the district				Noise barrier analysis completed by SDC 1 in prior Reporting Period. No barriers are recommended for advancement, with the exception of a potential barrier adjacent to the Clifton neighborhood. SDC 1 to seek input from the neighborhood
NI		CTS	R, GP	Q		16	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
						L	Phoenix Hill Historic District				

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	R, GP	Q		1	Develop a HPP, which shall develop a thematic context to assist with future nominations in the region. Plan is to be coordinated with latest development plans available from Metro Government.	Prior to Preliminary Field Check Plans			The Draft HPP was discussed at the working sessions of July 19, 2006 and September 26, 2006. The Final Draft HPP was presented at the December 14, 2006 KHPAT. HPP is expected to be finalized during the ensuing reporting period.
U		CTS	R, GP	Q		2	Design and construct roadway lighting as set forth in Stipulation II.D.				Recommendations on roadway lighting are included as part of the Final Draft HPP.
NI		CTS	R, GP	Q		3	Work with the owner to relocate the Baer Fabrics Company within the HD or a suitable facility within the Phoenix Hill neighborhood				Work activity has not been initiated
NI		CTS	R, GP	Q		4	Develop a treatment plan for rehabilitation of the Vermont American Buildings, explore options for reuse and rehabilitate the exterior of the building if recommended in the treatment plan			Treatment Plan	Work activity has not been initiated
NI		CTS	NR	Q		5	St. John's Church - Conduct a noise study for St. John's Church to determine whether interior noise abatement measures are justified.	During development of detailed plans			Work activity has not been initiated
NI		CTS	NR	Q		6	Refuge in Kentucky Church - Conduct a noise study for Refuge in Kentucky Church to determine whether interior noise abatement measures are justified for the Church, Day Care Center and School.	During development of detailed plans			Work activity has not been initiated
U		CTS	R, GP	Q		7	Place interpretive signage within the district			Interpretive Signage	Recommendations on interpretive signage were included as an element of the Final Draft HPP and the PL & G plans prepared by SDC 1.
U		CTS	R, GP	Q		8	Streetscape improvements along Main Street and Market Street from Floyd Street to Clay Street and in the area under the I-65 Bridge in accordance with provisions of the HPP and the KHCs streetscape design guidelines.			Streetscape Plan for improvements to the designated areas	Recommendations on streetscape improvements at specific locations are included as part of the Final Draft HPP. Development of specific streetscape plans have not been initiated.

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI		CTS	R, GP	Q		9	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
					M		Country Estates Historic District / River Road Corridor				
U		CTS	R, GP	L		1	Develop a HPP, which shall specifically consider and develop recommendations for the following:	Prior to Preliminary Field Check Plans			INR presented at work session of July 19, 2006 for further refinement; BSMT approved the INR on July 31, 2006. Work was initiated on development of the HPP upon the approval of the INR.
U		CTS	R, GP	L		a	Country Estates of River Road Historic District, including individually listed NRHP properties within the district				See M.1 update, above
U		CTS	R, GP	L		b	James T. Taylor / James W. Chandler House				See M.1 update, above
U		CTS	R, GP	L		c	Merriwether House				See M.1 update, above
U		CTS	R, GP	L		d	Upper River Road Bridge over Harrods Creek				See M.1 update, above
U		CTS	R, GP	L		e	Harrods Creek Village Historic District				See M.1 update, above
U		CTS	R, GP	L		f	Schildnecht House				See M.1 update, above
U		CTS	R, GP	L		g	Determan House				See M.1 update, above
U		CTS	R, GP	L		h	Allison-Barrickman House				See M.1 update, above
U		CTS	R, GP	L		i	St. Francis in the Fields Church				See M.1 update, above
U		CTS	R, GP	L		j	Belleview				See M.1 update, above
U		CTS	R, GP	L		k	Rosewell				See M.1 update, above
U		CTS	R, GP	L		2	Design and construct proposed roadways, bridges and tunnels from I-71 to the OH River, taking into consideration the cultural landscapes that are important contributing elements to the district.				Design Guidelines & Final INR recommends roadway, bridge & tunnel design considering cultural landscapes.
U		CTS	R, GP	L		3	Design and construct roadway lighting as set forth in Stipulation II.D.				Recommendations on roadway lighting are included as part of the Final INR.
U		CTS	R, GP	L		4	Implement noise abatement measures where the Project noise is expected to affect the listed and eligible properties as set forth in Stipulation II.E.				SDC 4 completed a noise barrier analysis during the prior Reporting Period. It is pending review by the BSMT.

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI		CTS	R, GP	L		5	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI		CTS	R, GP	L		6	Define a "no-work zone" within the NRHP boundaries of the listed and eligible properties.				Work activity has not been initiated
					N		Drumanard				
U		CTS	R, GP	L		1	Project through this property to be contained in a tunnel so as to limit adverse effects.				Tunnel through this property remains a component of Section 4.
U		CTS	R, GP	L		2	Acquire or establish a historic preservation easement for the entire Drumanard historic property				Development of a draft preservation easement is under preparation by SDC 4.
U		CTS	R, GP	L		3	If acquiring a preservation easement is not possible, FHWA will acquire the property, place the required easement on the property and transfer to KYTC who shall seek to sell the property at fair market value or donate to a				Development of a draft preservation easement is under preparation by SDC 4.
U		CTS	R, GP	L		4	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L. Monitor the historic buildings to preclude impacts due to blasting.	Plan to be completed prior to initiation of construction. Monitoring to occur during construction activities.		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
					O		Allison-Barrickman House				
NI		CTS	R, GP	L		1	Acquire a historic preservation easement for the Allison-Barrickman house on the track of land within the NRHP boundary and an easement on the property bounded by US 42, KY 841, the Allison-Barrickman property and Wolf Pen Branch Road				Work activity has not been initiated
U		CTS	R, GP	L		2	Implement noise abatement measures as set forth in Stipulation II.E.				SDC 4 completed a noise barrier analysis during the prior Reporting Period. It is pending review by the BSMT.
NI		CTS	R, GP	L		3	Define a "no-work zone" within the NRHP boundary of the property.				Work activity has not been initiated
NI		CTS	R, GP	L		4	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
					P		Rosewell				

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	NR	L		1	Develop and implement a Treatment Plan to minimize damage to the property.			Treatment Plan	SDC 4 is presently developing a Treatment Plan.
C	KYTC	NR	NR	NR		2	Make a reasonable attempt to acquire the property at fair market value.		November 30, 2005		KYTC acquired the property on 11/30/05. KYTC to take possession on 4/1/06.
NI		CTS	R, GP	L		3	Place a preservation easement on the property and then sell to a local historic preservation organization or other acceptable party or donate to a local government.	Immediately following acquisition			
U		CTS	R, GP	L		4	Design and construct roadway lighting as set forth in Stipulation II.D.				Recommendations on roadway lighting are included as part of the Final INR.
U		CTS	R, GP	L		5	Implement noise mitigation measures as set forth in Stipulation II.E.				SDC 4 completed a noise barrier analysis during the prior Reporting Period. It is pending review by the BSMT.
NI		CTS	R, GP	L		6	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI		CTS	R, GP	L		7	Define a "no-work zone" within the NRHP boundary of the property.				Work activity has not been initiated
						Q	Belleview				
U	1	CTS	R, GP	L		1	Design Ohio River Bridge and embankment adjacent to the property taking into account the cultural landscape associated with this property. Consider context sensitive landscaping and other visual treatments on or adjacent to the NRHP boundary, with owner consent and maintenance.				Recommendations on these elements are included as part of the Final INR.
U	2	CTS	R, GP	L		2	Design and construct roadway lighting within the viewshed of Belleview as set forth in Stipulation II.D.				Recommendations on roadway lighting are included as part of the Final INR.
U	3	CTS	R, GP	L		3	Implement noise mitigation measures as set forth in Stipulation II.E.				SDC 4 completed a noise barrier analysis during the prior Reporting Period. It is pending review by the BSMT.

STIPULATION III - SITE SPECIFIC MITIGATION (cont.)											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	4	CTS	R, GP	L		4	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Work activity has not been initiated
NI	5	CTS	R, GP	L		5	Define a "no-work zone" within the NRHP boundary of the property.				Work activity has not been initiated

KEY:

1 - Status				
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated

2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation	KYTC - Kentucky Transportation Cabinet		
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office	KHC - Kentucky Heritage Council		
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner	Q - QK4		
IN SDCs	BFS - Butler, Fairman and Seufert	IAP - Indiana Approach Partners		
SDCs 2 & 5	PB - Parson Brinkerhoff	MB - Michael Baker		
	NR - Not Required			

STIPULATION IV - ARCHAEOLOGICAL RESOURCES*											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
					A		Implementation Standards				
U	KYTC	CTS	A	NR		1	In implementing Stipulation IV, FHWA may withhold or limit public disclosure of information about historic properties.				Sensitive information pertaining to location & content of archaeological resources withheld from public disclosure.
U	KYTC	CTS	A	NR		2	FHWA to ensure consultation with Indian Tribes is conducted in a manner consistent with 36 CFR 800.2(c)(2)(ii)(A), (B) & (C).				Only those reports describing prehistoric sites or materials will be subject to NAC.
U	KYTC	CTS	A	NR		3	FHWA to make every effort to complete identification & evaluation of archaeological resources at the earliest possible time in Project design.				1) During the Reporting Period, discussions were initiated with the FHWA regarding the development of an archaeological plan. 2) Work plan developed for Section 3 remains on hold until location of bridge piers are known. 3) The Phase II report prepared for investigations at Sites 12CI516, 12CI525 and 12CI527 in No further work is necessary. 4) Approval of the management summary prepared for Section 4 was received from the KY SHPO on October 16, 2006. 5) Phase II/III work completed on Sites 15Jf717 and 15Jf718 in Section 1.
U	FHWA	CTS	NR	NR		4	In implementing Stipulation IV, FHWA may consult with the parties identified in Attachment F of the MOA.				FHWA consulted archaeological information with parties it deemed appropriate.

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	KYTC	CTS	A	NR		5	FHWA will take reasonable measures to avoid disinterment & disturbance to human remains and grave goods of religious & cultural significance to Indian Tribes.				During the Reporting Period no human remains or grave goods were encountered.
B											
U	KYTC	CTS	A	NR		1	Before letting any type of Project in the APE or selecting sites for ancillary activities associated with the Project, FHWA shall complete the identification & evaluation of archaeological resources for inclusion in the NRHP				See Stipulation IV.A.3 update, above
U	KYTC	CTS	A	NR		2	Examine all locations where ground-disturbing are proposed or where they may occur within temporary right-of-way.				Condition to be met the duration of the Project.
U	KYTC	CTS	A	NR		3	To ensure the sensitive, respectful and careful treatment of human remains and grave goods, FHWA to be guided by ACHP's <u>Policy Statement Regarding Treatment of Human Remains and Grave Goods</u> and where appropriate other guidelines.				KY-FHWA has objected to final Human Remains Policy and Federal approval was revoked. Resolution of KY-FHWA concerns and IN SHPO comment is pending.
U	KYTC	CTS	A	NR		a	Make every reasonable effort to avoid disinterment or disturbance of human remains and grave goods.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		b	Make a reasonable and good faith effort to determine the location, cultural/temporal period, and cultural affiliation of human remains and/or grave goods without any removal or destructive analysis of remains. FHWA may remove remains for study if determined in consultation with KHC & Indian Tribes that information cannot be obtained without disturbance.				During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR		c	Ensure human remains and/or grave goods are not photographed or subject to invasive study.				During the Reporting Period, no human remains or grave goods were encountered.

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	KYTC	CTS	A	NR		d	Provide written notification & documentation of discovery to the appropriate SHPO, Indian Tribes & other parties determined appropriate within 48 hours.				During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR		4	Develop a Plan(s) for the identification & evaluation of archaeological resources.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		a	FHWA to provide the SHPOs, Indian Tribes and other parties determined appropriate 30 days to review & comment on Plan(s); final Plan(s) to				Condition to be met the duration of the Project when plans are provided.
NI	KYTC	CTS	A	NR		b	If no response received from the above parties in 30 days, FHWA may proceed with Plan(s).				Condition to be met the duration of the Project when plans are provided.
NI	KYTC	CTS	A	NR		c	Any dispute regarding Plan(s) to be resolved in accordance with Stipulation XII.				Condition to be met the duration of the Project when plans are provided.
U	KYTC	CTS	A	NR		5	FHWA to prepare & distribute final Identification & Evaluation reports in accordance with Stipulation IV.F.				Condition to be met the duration of the Project when documentation is developed & determined appropriate by FHWA.
						C	Evaluation				
U	KYTC	CTS	A	NR		1	Studies completed pursuant to Stipulation IV.B to demonstrate a level of effort consistent with 36 CFR 800.4(b)(1) and provide FHWA with information to determine NRHP eligibility.				Condition to be met the duration of the Project.
U	KYTC	CTS	A	NR		2	Archaeological resources considered eligible for the NRHP by FHWA to be treated in accordance with Stipulations IV.D & IV.E.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		3	FHWA to seek a formal determination of NRHP eligibility from Keeper of NRHP if disagreement between FHWA & SHPO.				Condition to be met the duration of the Project.
U	KYTC	CTS	A	NR		4	No further action necessary for archaeological resource that FHWA & the SHPO agree are not eligible for the NRHP.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		5	FHWA to notify Indian Tribes and other parties whom so request of findings of eligibility.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		6	FHWA to reconsider its determination if a Party objects to FHWA's determination of eligibility in				Condition to be met the duration of the Project.
						D	Assessment of Effects				

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U	KYTC	CTS	A	NR		1	FHWA to determine if Project will adversely affect NRHP eligible archaeological resources in consultation with the SHPO, Indiana Tribes when appropriate, & other parties deemed appropriate by FHWA.				Condition to be met the duration of the Project.
NI	KYTC	CTS	A	NR		2	If FHWA determines Project may adversely affect NRHP eligible archaeological resources, reasonable efforts to be made to avoid/minimize adverse effect. If it's not possible to avoid disturbance, FHWA to treat resources in accordance with Stipulation IV.E.				FHWA has not determined that any archaeological sites discovered would be adversely affected.
NI	KYTC	CTS	A	NR		3	Disputes regarding the determination of effects on archaeological resources to be resolved in accordance with Stipulation XII.				No disputes regarding determination of effects on archaeological resources occurred during the Reporting Period.
					E		Treatment				
NI	KYTC	CTS	A	NR		1	If FHWA determines that an adverse effect cannot be avoided or minimized, a treatment plan will be developed & implemented to mitigate such effects on a site-by-site basis. At a minimum, treatment plan is to include:	Treatment plans to be implemented prior to initiation of any Project construction activities within a segment that could affect that site.			During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR		a	Description of the historic properties or portions of historic properties where treatment will be carried out.				See E.1 update, above
NI	KYTC	CTS	A	NR		b	Description of any historic property or portion thereof that will be adversely affected without treatment for such action.				See E.1 update, above
NI	KYTC	CTS	A	NR		c	Methods for site preservation/ protection.				See E.1 update, above
NI	KYTC	CTS	A	NR		d	Research questions to be addressed through data recovery.				See E.1 update, above
NI	KYTC	CTS	A	NR		e	Field methods to be used with an explanation of their relevance & applicability.				See E.1 update, above
NI	KYTC	CTS	A	NR		f	Methods to be used in data analysis, data management & dissemination of data, including a schedule.				See E.1 update, above
NI	KYTC	CTS	A	NR		g	Plans for treatment of human remains & grave				See E.1 update, above

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	KYTC	CTS	A	NR		h	Plans for curation of recovered materials &				See E.1 update, above
NI	KYTC	CTS	A	NR		i	Provisions for reburial of human remains & grave goods where appropriate.				See E.1 update, above
NI	KYTC	CTS	A	NR		j	Plans for public education & interpretation.				See E.1 update, above
NI	KYTC	CTS	A	NR		k	Plans for keeping the SHPOs, Indian Tribes and other appropriate parties informed of work progress &				See E.1 update, above
NI	KYTC	CTS	A	NR		l	Schedule for the submission of reports & other				See E.1 update, above
NI	KYTC	CTS	A	NR		2	Plans addressing treatment of human remains & grave goods to be guided by the ACHPs Policy Statement <u>Regarding Treatment of Human Remains & Grave Goods</u> and other guidelines where appropriate. FHWA to be also be guided by:				During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR		a	Burials that will not be threatened or disturbed by the Project shall not be disinterred or disturbed through scientific study.				See E.2 update, above
NI	KYTC	CTS	A	NR		b	If disturbance cannot be avoided, FHWA to determine if scientific study of human remains, grave goods & the burial matrix will be conducted. FHWA's decision must be supported by an explicit set of definitive & justified questions on a case-by-case basis.				See E.2 update, above
NI	KYTC	CTS	A	NR		c	Human remains and funerary objects of religious & cultural significance to Indian Tribes may be photographed only when FHWA in consultation with the SHPOs, Indiana Tribes where appropriate & other appropriate parties determines that it is called for & justified in a site-specific treatment plan to support scientific study.				See E.2 update, above
NI	KYTC	CTS	A	NR		d	Disinterred human remains and/or grave goods should be reburied as soon as possible in a timeframe, location & manner determined appropriate by FHWA.				See E.2 update, above
NI	KYTC	CTS	A	NR		3	Human remains & grave goods to be made available for reburial to appropriate party or responsible official 30 days from determination.				During the Reporting Period, no human remains or grave goods were encountered.

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	KYTC	CTS	A	NR		4	FHWA to provide ACHP, the SHPOs, Indian Tribes who've expressed interest in the site, other parties deemed appropriate by FHWA, 30 days to comment on treatment plans. FHWA to ensure the final plan(s) take comments into consideration.				No treatment plans requiring review & comment by the identified parties were prepared during the Reporting Period.
NI	KYTC	CTS	A	NR		5	Disputes regarding the treatment plan(s) to be resolved in accordance with Stipulation XIII.				No disputes regarding the treatment plans occurred.
NI	KYTC	CTS	A	NR		6	After implementing stipulations for treatment, FHWA may elect to monitor construction activities in selected portions of right-of-way. Monitoring to be conducted by qualified				During the Reporting Period, no monitoring of the implementation of treatment plan(s) occurred.
					F		Qualifications & Reporting				
U	FHWA	NR	NR	NR		1	Work carried out is to be performed by, or under the direct supervision of a person(s) meeting <i>Secretary of the Interior's Professional Qualification Standards</i> in archaeology & has supervisory experience in prehistoric & historic archaeology of the lower Ohio Valley.				Condition to be met the duration of the Project.
U	KYTC	CTS	A	NR		2	FHWA to ensure results of archaeological studies are presented in professionally written reports meeting standards established by the SHPOs.				Condition to be met the duration of the Project.
	INDOT/ KYTC	CTS	A	NR		3	Sensitive information regarding the nature & location of human remains/grave goods & the location, character & ownership of archaeological sites is kept confidential.				Condition to be met the duration of the Project.
	INDOT/ KYTC	CTS	A	NR		4	Parties to have 30 days after confirmed receipt to review & comment on reports. FHWA to address comments in final reports.				Condition to be met the duration of the Project when documentation is provided.
	INDOT/ KYTC	CTS	A	NR		5	Disputes regarding report(s) to be resolved in accordance with Stipulation XIII.	When Appropriate			No disputes regarding the archaeological reports prepared during the Reporting Period occurred.
	INDOT/ KYTC	CTS	A	NR	G		Distribution of Final Reports				
	INDOT/ KYTC	CTS	A	NR		1	FHWA to provide copies of final reports to respective SHPOs, STAs & Indian Tribes, when appropriate.	Upon Completion of Work			Condition to be met the duration of the Project when documentation is provided.

STIPULATION IV - ARCHAEOLOGICAL RESOURCES (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
	INDOT/ KYTC	CTS	A	NR		2	FHWA to prepare sufficient copies of final reports for dissemination to public libraries, educational institutions & other repositories in Jefferson & Clark Counties.				Condition to be met the duration of the Project when documentation is provided.
	INDOT/ KYTC	CTS	A	NR		3	FHWA to ensure that final archaeological reports for public dissemination do not contain sensitive information.				Sensitive information pertaining to location & content of archaeological resources withheld from public disclosure.

¹ - KYTC has procured the services of Cultural Resource Analysts (CRA), a consultant under a statewide contract, to perform archaeological investigations for Sections 1 and 4, as well as within the Ohio River floodplain in Kentucky. ASC Group will perform archaeological investigations in Sections 3 and 6, as well as within the Ohio River floodplain in Indiana.

STIPULATION V - UNANTICIPATED DISCOVERIES

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR	A		KYTC or INDOT to ensure work within 50 ft. around the area of an unanticipated discovery ceases until a treatment plan is developed & implemented.	Duration of Project			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	B		FHWA to make all reasonable measures to avoid property until conclusion of consultation with SHPO, Indian Tribes and other appropriate parties.	Duration of Project			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	C		FHWA shall contact SHPO, Indian Tribes and other appropriate parties within 48 hours and provide written details of discovery.	Within 48 hours			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	D		INDOT or KYTC shall conduct an on-site evaluation of discovery to determine eligibility, effects and possible treatment	Within 48 hours, or at the earliest opportunity thereafter			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	E		Develop appropriate Treatment Plan(s) for discovered historic property.	Duration of Project			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	F		Ground-disturbing work may commence at sites determined ineligible for	Duration of Project			No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	G		Parties shall invoke provisions for dispute resolution should consensus on eligibility not be reached.	Duration of Project			No unanticipated discoveries were uncovered during the Reporting Period.

STIPULATION NO. VI - ADDITIONAL HISTORIC PROPERTIES AND EFFECTS											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR	A		INDOT or KYTC shall consult with FHWA, SHPOs, Indian Tribes and other parties to determine if other historic properties in addition to those already identified or through Stipulation IV will be affected by the Project.	Before approval of sites for staging, wetland mitigation, borrow or waste, dredge disposal, or other construction are approved.			No additional historic properties requiring consultation were identified during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	B		Where historic properties are adversely effected, FHWA shall consult with INDOT and/or KYTC, SHPO, Indian Tribes and other appropriate parties to minimize or	Duration of Project			No additional historic properties requiring consultation were identified during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	C		If agreement on measures to mitigate the adverse effect, FHWA will ensure those measures are implemented. Resolve disputes in accordance with Stipulation XII.	Duration of Project			No additional historic properties requiring consultation were identified during the Reporting Period.

STIPULATION VII - EXCESS RIGHT OF WAY											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR			If Project should result in excess right-of-way to be disposed, the FHWA shall consult appropriate SHPO to determine whether the disposal or sale would have an adverse effect on historic properties.	Duration of Project			No excess right-of-way was determined necessary during the Reporting Period.

STIPULATION VIII - PERFORMANCE STANDARDS											
Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U	INDOT/ KYTC	CTS	NR	NR	A		FHWA shall ensure all cultural resources work carried out will be carried out by or under the direct supervision of individuals that meet the <i>Secretary of the Interior's Professional Qualification Standards</i> .	Duration of Project			Condition to be met the duration of the Project.
U	INDOT/ KYTC	CTS	NR	NR	B		FHWA shall ensure all historic preservation resource work carried out will be guided by the most recent version of the following	Duration of Project			Condition to be met the duration of the Project.
U	INDOT/ KYTC	CTS	NR	NR		1	The Secretary of Interior: Standards and Guidelines for Archaeology and Historic Preservation				See M update, above

STIPULATION VIII - PERFORMANCE STANDARDS (cont.)

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U	INDOT/ KYTC	CTS	NR	NR		2	Advisory Council on Historic Preservation: Treatment of Archaeological Properties: A Handbook				See M update, above
U	INDOT/ KYTC	CTS	NR	NR		3	Indiana Guidelines: Division of Historic Preservation and Archaeological Guidelines; most recent version of the Indiana Archaeological Guidelines updating the Guidebook for Indiana Historic Sites				See M update, above
U	INDOT/ KYTC	CTS	NR	NR		4	Kentucky Guidelines: Specifications for Conducting Fieldwork and Preparing Cultural Resource Assessment Reports; most recent version of Kentucky State Historic Preservation Office				See M update, above

STIPULATION IX - PROGRESS REPORTS

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
U		CTS	NR	NR	A		Progress reports detailing implementation of the measures stipulated within the MOA and providing advanced notice of milestones.	Every six (6) months until all phases of the project are complete			Report No. 1 - 7/21/04 Report No. 2 - 9/20/04 Report No. 3 - 4/22/05 Report No. 4 - 10/10/05 Report No. 5 - 2/20/06 Report No. 6 - 11/28/06
C		CTS	NR	NR	B		First progress report to be distributed six months following the execution of the MOA.				First progress report was provided on 7/21/04
U		CTS	NR	NR	C		Progress report to identify the status of each stipulation outlined in the MOA & of associated documents.				Condition to be met the duration of the Project.

STIPULATION X - PROJECT MODIFICATION

Status ¹	Taskmaster(s) ²				MOA Item		MOA Commitments & Updates				
	FHWA STA/ SHPO	GEC	Sub-consultant	SDC	Item	Sub Items	Description	MOA Deadline	Completion Date	Product	Remarks & Comments
NI	N/A	CTS	NR	NR			If the Project is significantly modified and additional effects to historic properties are considered the FHWA will consult with the signatories to determine if the MOA should be amended.	Duration of Project			No significant modifications to the Project occurred during the Reporting Period.

KEY:

1 - Status			
C - Completed	U - Underway	D - Duration of Project	NR - Not Required NI - Not Yet Initiated

2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation		KYTC - Kentucky Transportation Cabinet	
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office		KHC - Kentucky Heritage Council	
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner		Q - QK4	
IN SDCs	BFS - Butler, Fairman and Seufert		IAP - Indiana Approach Partners	
SDCs 2 & 5	PB - Parson Brinkerhoff		MB - Michael Baker	
	NR - Not Required			

ATTACHMENT D PROGRESS REPORT SCHEDULE

Pursuant to Stipulation IX of the Section 106 MOA, the following schedule and milestones are anticipated for the project:

Section 1 – Kennedy Interchange

- Butchertown HPP Final Draft to KHPAT – February 2007
- Phoenix Hill HPP Final Draft Approval and Distribution to KHPAT – March 2007
- R/W Plan Review – March 2007
- R/W Plans – Spring 2007

Section 2 – Downtown Bridge

- Contract development for Phase D Design – January 2007

Section 3 – Downtown Indiana

- Old Jeffersonville Final Draft HPP – April 2007
- Colgate-Palmolive HD NRHP-level Documentation Report Approval by IN SHPO - Spring 2007
- Ohio Falls Car and Locomotive HD Nomination – INDOT to issue notice-to-proceed to CTS-GEC to provide for the development of this nomination
- Train Depot NRHP Nomination Form – Approval by IN SHPO Spring 2007

Section 4 – Kentucky East End

- Country Estates of River Road/River Road HPP – Draft HPP for Review in April 2007
- Draft Rosewell Treatment Plan Review – February – March 2007
- US 42 Ramp Relocation Construction for Geotechnical – Ongoing
- Aesthetic Design Guidelines KHPAT Work Sessions – February 2007
- Exploratory Tunnel and Approach – June 2007
- R/W Plans – June 2007

Section 5 – East End Bridge

- Contract development for Phase D Design – January 2007

Section 6 – Indiana East End

- Swartz Farm Rural HD Final Draft HPP – April 2007
- Utica Historic Lime Kilns HPP – Property owner access ongoing; Draft HPP to follow access permission.

- Swartz Farm Rural Historic District NRHP Nomination Form – INDOT to issue notice-to-proceed to CTS-GEC to provide for the development of this nomination
- Utica Historic Lime Kilns NRHP Nomination Form – INDOT to issue notice-to-proceed to CTS-GEC to provide for the development of this nomination (pending permission to access property by landowner)
- Phase C Design Contract Development – Spring 2007

Project Wide Activities –

- Smart Growth Conference Report – Draft to be finalized for BSMT Approval – March 2007